

**7th Judicial District Department of Correctional Services**

# **ANNUAL REPORT**

**Fiscal Year 2011**

**July 1, 2010- June 30, 2011**

The Annual Report is prepared pursuant to Section 905.4 of the Code of Iowa. The report includes an overview of fiscal year 2011, proceedings of the Board of Directors, fiscal statements, and statistics illustrative of the Department's general workload and case activities.

Additional information about the Department of Correctional Services maybe obtained by contacting:

James Lee Wayne, District Director  
Department of Correctional Services  
605 Main St.  
Davenport, Iowa  
563-484-5839

The Department's Budget and related information is also available for review.

**Greg Adamson**  
**Chair Board of Directors**

**James Lee Wayne**  
**District Director**

## Introduction

Fiscal Year 2011 continued a series of years that were greatly affected by funding issues and budget cuts due to the state and national economic recession and resulting reduced state revenues. As a result of budget cuts in the first half of the fiscal year it became obvious that the Department did not have sufficient funding to continue all operations for the remainder of the fiscal year. Planning was begun to initiate layoffs but was deferred pending approval of Governor Branstad's recommendations for supplemental funding for FY11. Supplemental funding was eventually approved by the Legislature and the Governor.

Because of the budget cuts, despite the supplemental funding, lack of funding for new building related expenses, and in consideration of likely FY12 funding; the Department did have to make some operational budget cuts and hold several positions vacant. I determined that the staffing levels for the residential operations, particularly the residential officer positions were insufficient and therefore implemented a plan to temporarily transfer two less senior Probation and Parole Officers from the Muscatine office to residential programs in Davenport. This move unfortunately took away 40% of the caseload manpower in the Muscatine office but failure to make the change would have left the facilities with only one staff member on duty at various times. I would have preferred transfers from the Davenport office but was not able to for union contract and personnel reasons. This was the first time this type of action was needed in my then 36 years as District Director. It was certainly an unfortunate and disliked move that I had to make. With some better funding in FY12 one position was restored to Muscatine and alternate plans made to provide minimum staffing for residential operations.

The Department is currently operating with approximately 20% less employees that it had almost ten years ago with the same or an increased workload in most operational areas. I have no doubt that the reductions have resulted in less positive outcomes and less public safety – it is almost impossible to measure or assess accurately but is obvious anecdotally.

Early retirements implemented in FY10 to save funding, impacted staffing and operations greatly. The Department lost many very experienced employees including several supervisors. Although funding has not allowed for filling supervisor vacancies, once funding is possible it may still be hindered by a 15 to 1 employee to supervisor ratio mandated by the 2010 legislature and approved by Governor Culver. That ratio is a bad policy for community corrections and along with other "anti" management provisions will make recovery of operational performance more difficult and protracted.

One of the best events in many years for the Department was relocation to the new building at 605 Main Street. Department employees and residents relocated on October 19, 20, and 21 of 2010. All operations except food service were relocated and food service was moved in early January 2011 after kitchen installations were completed. The new building provides more programming space, better office space, and increased residential capacity. Many functional and operational improvements were included in the new building to not only improve operations but also improve staff and offender safety. Unfortunately funding has not been provided to increase residential capacity which requires additional staffing. In FY12 the old building has been demolished and replaced by a parking lot. Except for some final work the project is nearing completion.

So far, FY12 appears to be another difficult fiscal year but we have unfortunately become good at dealing with that status. Staff does an excellent and commendable job at performing despite the difficult situations.

Thank You to the employees of this District and those others that we work with for all your outstanding hard work.

James Lee Wayne, District Director

# Annual Report

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# Purpose, Vision, Philosophy

## PURPOSE AND GOALS

Section 905.2 of the Code of Iowa authorizes the Seventh Judicial District Department of Correctional Services to furnish or contract for services to assist individuals who have been ordered by the courts, or the Parole Board or the authority of the Interstate Compact Services to participate in correctional programs designed to modify their behavior. The goals of the Department are:

- To support public safety
- To provide alternatives to the incarceration of offenders
- To protect and ensure the rights of persons who are charged with or convicted of a public offense
- To provide programs and services that assist individuals to become productive and law-abiding citizens
- To provide cost effective programs and services
- To avoid costly duplication of services by utilizing community resource agencies
- To provide accurate and useful information to the courts to assist in prudent decision-making

## MISSION

### **Protect the Public, the Employees, and the Offenders**

The “**Public**” is listed first since they are actually our employers and represent the reason for our employment. The “**Offenders**” are listed last since they represent the final product of our work and “**Protect**” not only includes from harm but also includes protect a good future for the offender. In between are listed “**Employees**” since they are the vehicle and method for accomplishing the other two components of the mission and are the most important component.

## VISION

- Every offender makes it and our communities are safe
- We invest in partnerships so that all members of the community have hope of succeeding
- Individual growth is encouraged and expected, and achievement is recognized
- Shared decision-making and team participation demonstrate our mutual respect
- We measure our results and do what we know "works"
- Innovation is valued; Diversity is our strength; Offenders are held accountable

## PHILOSOPHY

An underlying philosophy of our Department that is tied to our goals, mission, and visions is that for each offender we seek the least punitive sentence and sanctions to be used consistent with the need to promote public safety while modifying the offender’s behavior. The Criminal Justice System has as its responsibility the need to provide for public safety and to assist other social systems with maintenance of an orderly society where individuals may strive to achieve their own objectives so long as they do not infringe upon the rights of others. Community-based corrections has a special role to prevent further involvement with law enforcement and the courts.

### Community-based corrections is based on several principles:

- Crime is a community problem and can best be solved in the community. Alternatives to incarceration should be used when possible
- The expense and debilitating effect of incarceration does not justify its use when appropriate alternatives are available in the community.

## District Historical Highlights

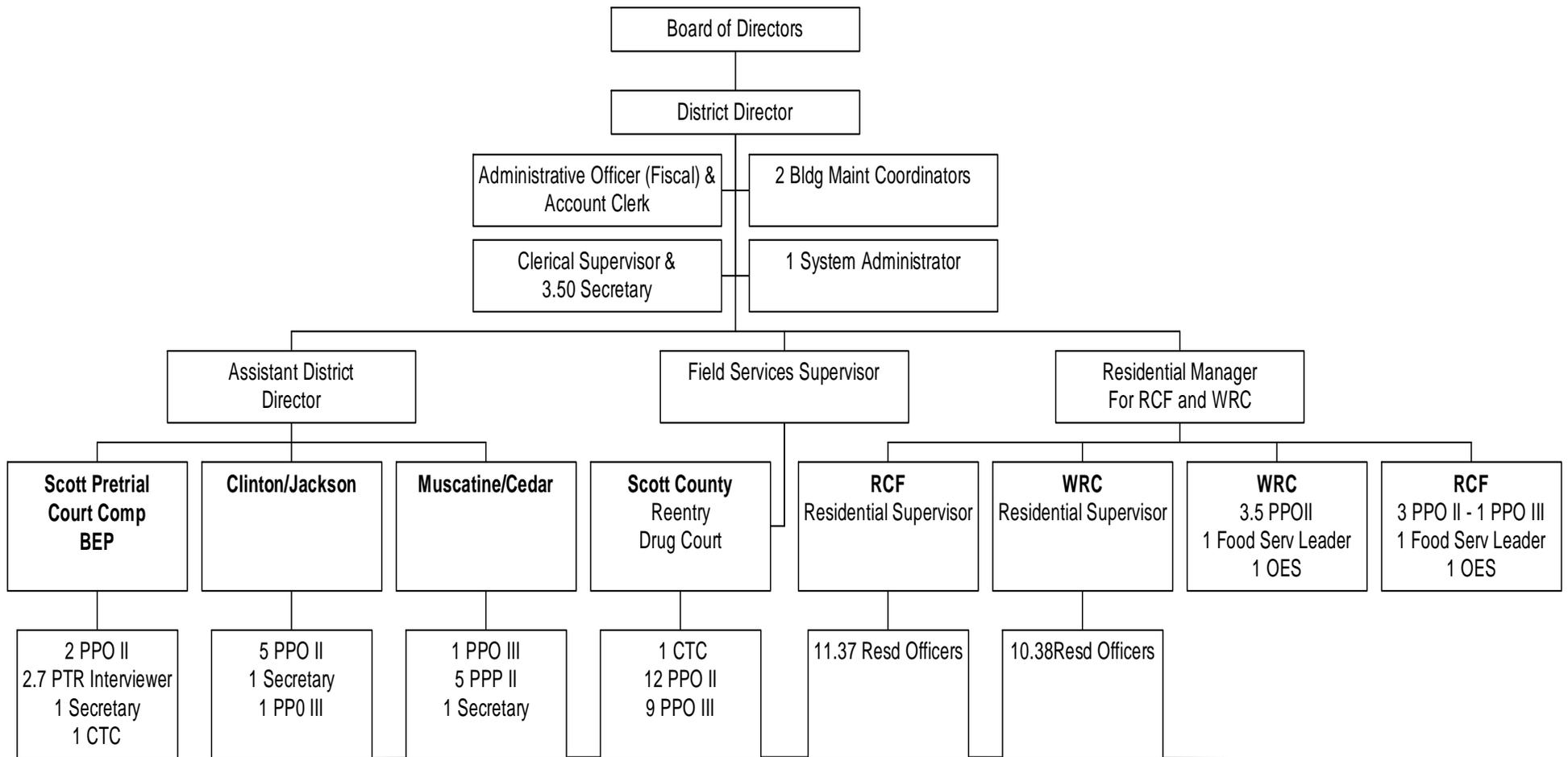
- **1972** Pretrial Release Program started in Davenport
- **1974** Department started operations as Court Services with a federal LEAA grant from the Iowa Crime Commission, included PTR and Jail Services in new Agency
- **1977** A Residential Corrections Facility is established at 326 W. 2nd St. Davenport to provide pre-institutional residential services
- **1978** February the Board of Directors met for the first time pursuant to what is now Chapter 905 of the code of Iowa
- **1982** Relocation of the Residential Corrections Facility to the site of the newly- constructed facility at 1330 W. 3rd St., Davenport (36-bed capacity)
- **1984** Community Service Sentencing implemented district-wide
- **1986** Collective Bargaining
- **1987** Relocated from the Kahl Building to the Community Resources Building and Residential OWI program implemented
- **1988** Sex Offender Treatment Program is added
- **1989** Implemented Intensive Supervision Programs
- **1990** Implemented TASC intensive supervision and TASC evaluations
- **1993** The RCF was remodeled and addition added, expanding bed-space for 56 residents
- **1993** The Violator Program Aftercare program was developed
- **1997** Offender Enrollment Fees were added to supervision responsibilities
- **1998** Transition program funded by GASA and started at RCF
- **2000** Implementation of ICON to replace ICBC as corrections database system
- **2000** In-house capabilities for polygraphs are added
- **2000** Court Compliance program funded by Scott County to reduce unsupervised probation revocations
- **2001** Capacity of the WRC increased by 30 %
- **2002** Significant budget cuts imposed due to declining state revenue resulting in loss of 5 positions

- **2002** Drug Court grant funded by Governor Alliance Against Substance Abuse and started in Scott County
- **2004** Increased staffing and funding for sex offender services and implementation of electronic monitoring for sex offenders with victims who were minors and eventual implementation of GPS EMS in 2006
- **2004** Funding of \$10.5 million approved by Legislature and Governor for replacement for the CRC Building in Davenport
- **2005** Commitment made by CBC & DOC to implementation of evidence-based practices
- **2006** Reentry Program implemented with the hiring of a Community Treatment Coordinator to facilitate reentry efforts
- **2007** Scott County Pretrial Release offices relocated to new offices between the jail and courtrooms
- **2010** Department staffing levels declined from 104.7 in FY 2008 to 90.1 at the end of FY 2010, due in part to early retirement incentives promoted to ease State budget constraints
- **2010** New Work Release Center begins operation in October at 605 Main Street; demolition of old building begins

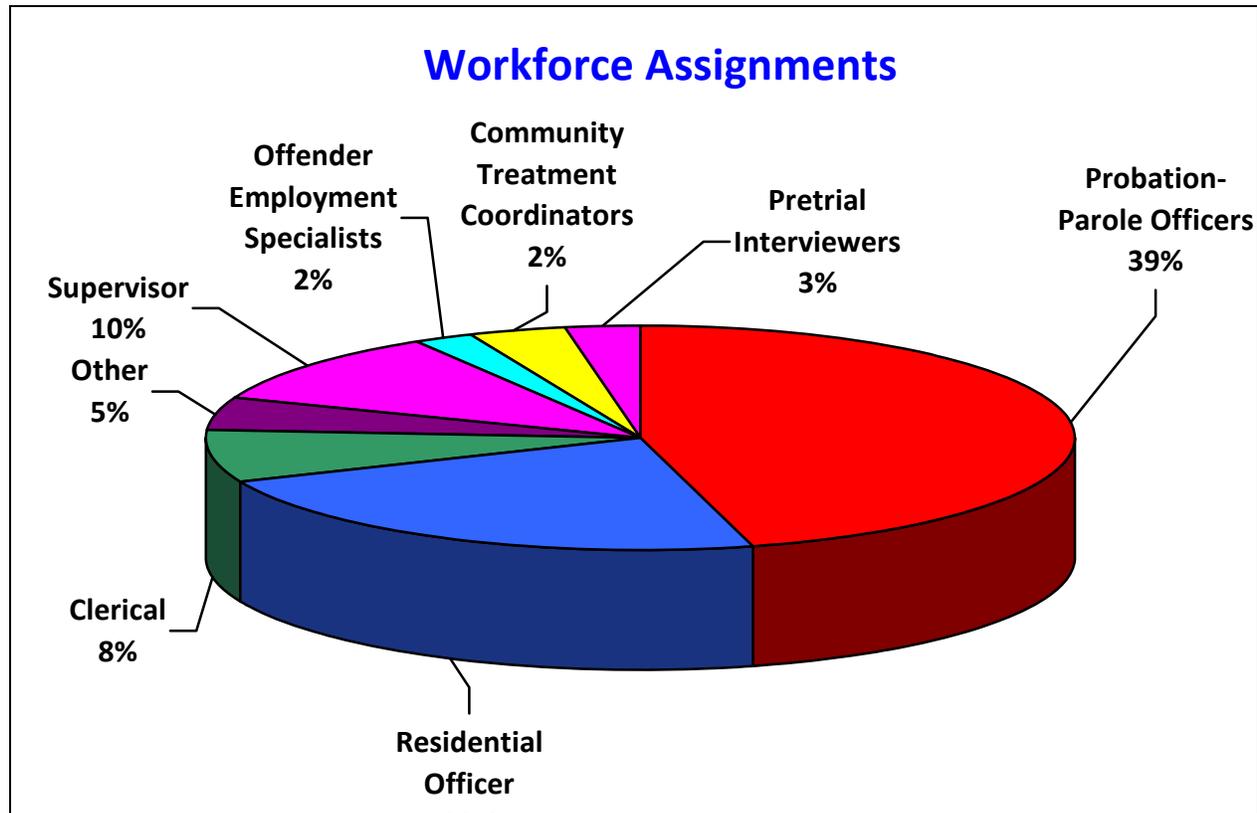


# Organizational Chart

## Seventh Judicial District Department of Correctional Services Table of Organization **Approved July 2010**

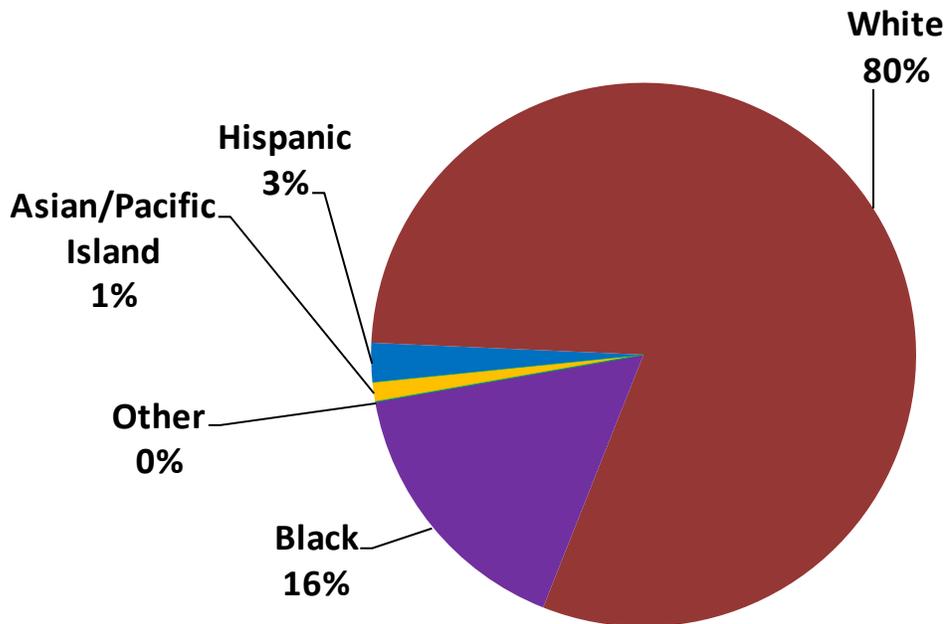


## District Workforce (June 2011)



The department continues to adjust how it does business in efforts to maintain the high level of services it provides to the community and offender population. Our staff continues to demonstrate their leadership skills by initiating new ways to do business; utilizing assessment tools to assign appropriate resources in assisting offenders address negative behaviors. While continuing to work towards a seamless relationship with prison staff and local community resources in an effort to get offenders into services they need in a short time span. In reviewing the previous organizational charts, it is evident with budget issues and staff loss over the past three years, it is impacting the services provided by this department. Staffing levels across the department have declined substantially over the past three years from 104.7 in FY 2008 to **86.25** at the end of FY 2011.

## AA/EEO Report June 2011



Department leadership is cognitive of the disparity in minority hiring and promotions in Iowa Government. The department has set a goal to create a workforce reflective of the population under supervision. The department is proud of its efforts it has made over the past four years in creating a more diverse workforce. The department continues to focus on diversity needs at all levels of the department. While being cognitive of the need to have a transparent promotional process that does not promote discrimination or biases. The department will continue to address disparity of minority promotions as budget constraints and promotional opportunities develop. The department should be seen as a leader in its efforts in developing and maintaining a diverse workforce. The above chart reflects the percentage of minority staff has almost reached 20%; the department is cognitive that the number of minority staff still fall below the number of minorities under department supervision.

## WORKFORCE DIVERSITY COMMITTEE

The Workforce Diversity committee is a joint effort between management and labor to increase the diversity of this District's workforce. The committee's focus is on meeting the needs of our offenders by creating a workforce that is reflective of the people we supervise in community corrections. We have identified a need to focus our efforts initially on methods to seek minority applicants. This is being done through recruitment efforts at local universities, community organizations and State agencies. The committee is also addressing staff development and minority retention through internal and external training opportunities. The committee has been meeting for over two years and is developing and modifying hiring and promotional practices that ensure all applicants are treated fairly without discrimination.

### Committee Highlights:

- Establishment of the committee (2007) to address the disparity of minority hiring and promotions by the District (Workforce Diversity Committee)
- Developed outreach program to seek minority candidates for hire, utilizing community groups and local education centers
- Established a process to notify community outreach groups of new job openings
- Michael Savala from Central Office has worked in conjunction with committee members to use minority promotional hiring information at recruitment efforts
- The past 14 Residential Officers hired by this Department, over 60 percent hired meet the needs to create a diverse workforce. This is attributed to the outreach efforts established by the Workforce Diversity Committee
- Updated Chapter 2 –Equal Rights Policy of the Personnel Policy & Procedure Manual
- Preparing to initiate Cultural Sensitivity Training to enhance staffs' understanding of other cultures and the barriers that are culturally unique
- Management recognized the need to provide ongoing training to develop staff for future job openings.
- Provided promotional training for department staff focusing on need to promote and develop diversity at all levels of the agency.

### MISSION

*The Workforce Diversity committee will develop and recommend policies and practices that are to be implemented by the Seventh Judicial District Department of Correctional Services to establish and maintain a diverse workforce.*

## Departments and Facilities

### Administrative Office

605 Main St.  
Davenport, IA 52803-5244  
(563) 322-7986

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### Scott County Field Services

605 Main St.  
Davenport, IA 52803-5244  
(563) 322-7986

\*\*\*\*\*

### Pretrial Release Unit

Scott County Courthouse  
400 W. 4th St.  
Davenport, IA 52801-1030  
(563) 326-8791

\*\*\*\*\*

### Muscatine County Field Services

101 W. Mississippi Drive, Suite 200  
Muscatine, IA 52761  
(563) 263-9168

\*\*\*\*\*

### Cedar County Field Services

Cedar County Courthouse  
Tipton, IA 52772  
(563) 886-3449

\*\*\*\*\*

### Clinton County Field Services

121 – 6th Avenue South, Suite 101  
Clinton, IA 52732  
(563) 243-7943

\*\*\*\*\*

### Jackson County Field Services

Jackson County Courthouse  
Maquoketa, IA 52060  
(563) 652-2751

\*\*\*\*\*

### Work Release/OVI Center

605 Main St.  
Davenport, IA 52803-5244  
(563) 322-7986

\*\*\*\*\*

### Residential Corrections Facility

1330 W. 3rd Street  
Davenport, IA 52802  
(563) 324-2131

## **2011 Board of Directors**

**Greg Adamson-Chairperson**

Citizen Board Appointee

**Steve Flynn, Vice Chair**

Supervisor from Jackson County

**Larry Minard**

Supervisor from Scott County

**Kathy Laird**

Judicial Appointee

**Kas Kelly**

Supervisor from Muscatine County

**Brian Schmidt**

Supervisor from Clinton County

**Dennis Boedeker**

Supervisor from Cedar County

**Marie Christian**

Judicial Appointee

**Robert Petersen**

Citizen Board Appointee

## Summary of the Board of Directors Meetings

The Department's Board of Directors meet monthly on the morning of the second Friday of each month except when meetings are rescheduled or cancelled as approved by the Board or the Board Chairperson. This is a brief summary of key items and significant actions taken at each meeting.

### **July 2010**

- ❖ Discussed State and DOC budget issues
- ❖ Approved revising the Table of Organization, reassigning of supervisory staff due to recent retirements
- ❖ Approve FY 2011 contract with the Center for Alcohol & Drug Services, Department of Corrections (POS), and Scott County for community service sentencing programming
- ❖ DOC board meeting scheduled in Davenport in August, tour new facility

### **August 2010**

- ❖ Discussed State and DOC budget issues
- ❖ Discussed District Director's Performance Evaluation: Board members personal evaluations to be submitted and reviewed
- ❖ Building Status Update: Resident room furniture has arrived and is installed; purchases are being worked on for the telephone system, door access control system and video security system
- ❖ Approve the FY09 final fiscal report

### **September 2010**

- ❖ FY12 Budget Request: The FY12 status quo budget request has been submitted with requests for budget packages to include restoration of some positions, and for additional staffing for the new facility
- ❖ Received bid for kitchen equipment from Martin Brothers; they will finalize details for ordering; set moving dates for October 19<sup>th</sup> - 21<sup>st</sup>
- ❖ District Director's Performance Evaluation: The Board discussed the evaluation and approved it

### **October 2010**

- ❖ NO MEETING

### **November 2010**

- ❖ Discussed new building construction: move to the new building was completed in two days
- ❖ Reviewed of the annual report draft: prison commitments from the 7<sup>th</sup> District have declined over an eight year period
- ❖ October Legislative Briefing Review: The consensus of Board members who attended the Legislative briefing and tour of the new building construction was well attended
- ❖ Discussed City of Davenport proposed zoning code change: possible impact of future changes in residential services
- ❖ Discussed Election results/potential impact, Board changes, Legislative changes: The recent election process had an impact on the composition of the Board, as three Board members lost bids for re-election to their Board of Supervisors

### **December 2010**

- ❖ Contract for FY11 – Scott County for court compliance & Vera French were approved
- ❖ Discussed new building construction: The installation of kitchen equipment in the new facility has begun also assessing inconsistent heating of the new building is an on going project
- ❖ Proposed BEP Fee Increase: Approved rate increase for BEP as proposed, effective Friday, January 1, 2010
- ❖ Discussion with Legislators: Each representative gave a brief overview of the State's financial status and had discussion with Board members regarding funding issues for corrections
- ❖ Board Membership and Officers for 2011: Board members leaving the Board are Esther Dean, Leroy Moeller and Dennis Starling. Jim Wayne presented a certificate of appreciation to Esther Dean, Leroy Moeller and Dennis Starling for their years of service on the Board of Directors for this Department

### **January 2011**

- ❖ Budget & Fiscal discussion: Reviewed potential budget cuts, and how our District is planning to absorb the cuts
- ❖ Reviewed high profile escape of four RCF residents and the negative media
- ❖ New Building Status Update: Food services to begin in the new facility; work continues on trying to resolve heating issues in the building
- ❖ Discussed law enforcement personnel utilizing the vacant building next door for training purposes; the fire department will also be utilizing it in the future
- ❖ Elected Board officers and executive committee for 2011 Greg Adamson elected as Chair for 2011

## **February 2011**

- ❖ FY11, FY12 & FY13 Budget discussion: A Legislative Services Agency analysis of the Governor's Budget recommendation for FY11, FY12 and FY13 was reviewed
- ❖ Legislative Issues – corrections-related: 1) Bill introduced eliminating the Span of Control requirements (supervisor to employee ratio) and the prohibition against supervisor bumping, which was passed last year
- ❖ New Building Status Update: Department got approval to spend the funds allocated for the purchase of furniture/equipment for the work release center and proceed with the process of asbestos removal and demolition of the vacant building. The heating issues in the building continue to improve

## **March 2011**

- ❖ FY11, FY12 & FY13 Budget discussion: Reviewed general fund FY12 projections, which included: 1) budget cut for SERIP savings of \$353,746; 2) shortage of \$531,925 based on governor's recommendations; 3) large factors in the shortage are loss of expiring grant funds for 2 Offender Employment Specialist positions & 3<sup>rd</sup> consecutive year of no salary adjustment funds (\$367,208). Jim Wayne reviewed the increase of staff vacancies of Residential Officer positions at both facilities and a food service leader position at the R.C.F., due to several staff resigning and one termination and the need to fill those positions with existing staff for staff safety and resident accountability/building security issues. Reassigned 2 Probation/Parole Officer positions from the Muscatine Field Office to cover a vacancy at each facility effective 3/18/11
- ❖ New Building Status Update: A. Phase 3: Proceed with the bidding process for asbestos removal of the vacated building, demolition of the building and paving the parking lot will follow. Progress has been made on the inconsistent heating of the new building; issue is whether the units are fully functional and will operate correctly.

## **April 2011**

- ❖ FY11, FY12 & FY13 Budget discussion: Still waiting on final approval of the \$265,000 supplemental appropriation and approval of salary adjustment funds (\$367,208); could impact staffing levels
- ❖ Temporary Personnel Reassignments: Jim Wayne reviewed the issue of temporarily reassigning two field staff from the Muscatine Unit to cover residential vacancies and the resulting grievance filed by AFSCME, citing violation of following seniority
- ❖ New Building Status Update: Phase 3: Public bid notices were published in the local newspaper requesting bids for the asbestos abatement and building demolition. A pre-bid meeting is scheduled

## Fiscal Year 2011 Annual Report

- ❖ Presentation: On behalf of local law enforcement agencies, Rich Aleksiejczyk, PA/PB Officer 3, presented letters of appreciation from the Davenport Fire Department, Davenport Police Department, Scott County Sheriff and Bettendorf Police Department for allowing them to stage rescue/hostage scenarios in the vacated building for training purposes.

### **May 2011**

- ❖ FY11, FY12, & FY13 Budget discussion: Department could face a dilemma should the Legislature fund additional staffing for the WRC, but fail to fund the requisite funds to maintain existing operations
- ❖ New Building Status Update: Asbestos removal agreement has been signed; the contractor has given notice to both EPA and DNR. Removal preparation work began on May 11<sup>th</sup> with total demolition projected by the end of July. The demolition cost fell short of the estimated cost by \$300,000, thereby increasing the prospects for the ultimate completion of the parking lot, landscaping, and drain upgrades
- ❖ Temporary personnel reassignments: Jim informed the Board that he met with the Union in regard to its grievance and will formally deny the grievance; however, the grievance is now moot with the resignation of the impacted staff member

### **June 2011**

- ❖ FY11, FY12 & FY13 Budget: no salary adjustment funds included in the budget, which could result in a reduction of staff
- ❖ Discussed and approved Contract & Non-Contract pay for FY12: Approved a 2% increase effective 7/1/11 and a 1% increase effective 1/1/12 for the nine non-contract staff
- ❖ Potential Staffing Plans and Reductions for FY12: A current Table of Organization was distributed. Also included were two potential scenarios of staff/program reductions, depending on the funding for the next fiscal year
- ❖ New Building Status Update: A. Phase 3: The asbestos abatement has been completed and scaffolding for the temporary walkway is being constructed. Fencing around the property is scheduled to be erected next week with demolition to begin

In addition, the Board takes action at each meeting, on routine items of business such as review and approval of meeting minutes, review and approval of fiscal reports, approval of District Director's travel expense claim, announcements, and other items.

## District Services

### **PRETRIAL SERVICES**

The Courts are served with information and services to provide for the early release of offenders prior to sentencing either with or without supervision. Pre-trial Release provides an alternative to the traditional bail bond system. Arrestees are assessed for their public safety and flight risk pending disposition of their criminal case.

Recommendations are made to the court regarding appropriateness for release from jail that may include release on own recognizance (ROR), release with supervision (RWS), release with bail (RWB), etc., or no release. If release is ordered with supervision, the defendant's whereabouts and activities are monitored to ensure that all court appearances and obligations are met

### **PRESENTENCE INVESTIGATIONS**

The presentence investigation is primarily a tool to assist judges in determining appropriate sentence alternatives that most effectively serve the offender and wisely utilize correctional resources. The report submitted to the district court includes an extensive history of the defendant's criminal, social, family, education, employment and psychological background. Sentencing alternatives are presented to the court based on the investigation. This department also provides criminal history record checks to the courts for the Judge's consideration in sentencing.

### **PROBATION SERVICES**

Probation is the supervised release of adjudicated adult individuals in the community as a result of a suspended sentence, a deferred sentence or a deferred judgment. Probation provides a major alternative to institutionalization, whereby convicted misdemeanants and felons remain in the community under supervision. Probation supervision includes risk and needs assessments, case planning and referral to community agencies. Offender behavior is monitored through urinalysis testing, breath analysis, and electronic monitoring/GPS, surveillance and collateral contacts. Officers maintain regular contact with the offender and their significant others.

### **RESIDENTIAL CORRECTIONAL FACILITY SERVICES**

Residential services provide supervision of offenders demonstrating an inability or unwillingness to function under less restrictive program supervision.

There are two residential correctional facilities in the Seventh Judicial District. The residential facilities provide highly structured live-in supervision of problematic, high risk and/or high needs offenders. A myriad of treatment, education and related

services are provided by the facilities. The offenders are referrals from court, parolees, work release and Federal contract residents from the Bureau of Prisons.

The Residential Corrections Facility (RCF) is located at 1330 W 4<sup>th</sup> Street, Davenport, Iowa and the Work Release Center (WRC) is located at 605 Main Street, Davenport, Iowa. The RCF houses sixty-four (64) male offenders. The WRC houses eighty-one (81) male/female offenders. The average stay at the facilities is between three (3) and six (6) months. Offenders are required to obtain employment and address treatment needs as directed by the court or identified by assessments that are required to complete each offender's individual reentry case plan. Offenders are required to meet financial obligations such as child support, victim restitution, court costs, etc. while in the facilities.

### **OPERATING WHILE INTOXICATED (OWI) PROGRAM**

The OWI Program is provided for offenders convicted of a second or subsequent Operating While Intoxicated charge, as authorized by the Iowa Code, Chapter 904.153. Offenders in these programs are considered state inmate status but are able to serve their sentences and participate in treatment in community corrections residential facilities in lieu of prison. These offenders are under the jurisdiction of the Iowa Department of Corrections and, unless they discharge their sentence while in the facility, must be released by the Iowa Board of Parole.

OWI programming is provided to offenders in the Work Release Center located in Davenport. Substance abuse treatment services are provided through contracted services with the Center for Alcohol & Drug Services.

### **PAROLE SERVICES**

Parole is the supervised conditional release of offenders released from the state's correctional institutions by the Board of Parole. Parole can also be granted directly from a Residential Correctional Facility after the offender has served residential facility time on work release. This program is very similar to probation and in many instances the probation and parole officers are one and the same.

### **INTERSTATE COMPACT**

Interstate Compact is the supervision of offenders transferred to Iowa from another state. Iowa, likewise, transfers offenders to other states for supervision. Offenders supervised are usually on probation or parole and are handled similar to Iowa offenders under probation supervision.

## **COMMUNITY SERVICE SENTENCING**

This tool connects the offender with the offended community through significant work to benefit the community, and has been used extensively by the courts.

## **BATTERER'S EDUCATION PROGRAM (BEP)**

This program provides a group education process for men and women who practice a pattern of abusive behavior. As required by Iowa law, the department provides batterer's education groups for persons convicted of domestic abuse. After an extensive orientation session, batterers are placed in groups which meet weekly, men meet for twenty-four (24) weeks, women (16) weeks.

## **INTENSIVE SUPERVISION PROGRAM (ISP)**

Intensive Supervision (ISP) is a specialized program of greatly enhanced supervision of high risk offenders on probation or parole. Specialized Probation/Parole Officers are assigned a small caseload of high risk offenders to supervise. Instead of having face-to-face contact with the offender monthly under normal supervision, ISP officers have numerous contacts with the offender weekly. Drug testing, curfew surveillance and offender accountability are emphasized with most officer activity occurring in the evening. Officers are equipped with a vehicle, radio equipment and electronic monitoring equipment.

High risk offenders include those convicted of violent crimes or having a history of violent crimes. Sex offenders and Drug Court participants are also considered to be high risk and are supervised by ISP officers.

ISP may also be used as an intermediate sanction for non-compliant offenders on regular supervision caseloads. ISP caseloads are held to a maximum of twenty-five (25) offenders so the officer can spend more time with the offender. ISP officers visit these offenders on the weekends and evenings, as well as during the day. The time spent with the offender can be in helping the offender change, or if the offender is unwilling to change, to monitor the offender for compliance.

The District operates (5) Intensive Supervision Programs:

- **Intensive Supervision Program (ISP)**—Specializing in supervising high risk offenders on parole supervision.
- **Sexual Abuse Treatment Program (SOTP)** – Supervising sex offenders and facilitating SOTP groups.
- **Treatment Alternatives to Street Crime (TASC)** – Specialized supervision for offenders with history of substance abuse problems that directly lead to criminal activities.

- **Transition Phase (RCF)** –Transition component for high risk probation offenders transitioning from the RCF to field supervision.
- **Drug Court (DC)**-Prison diversion program designed to supervise offenders with chronic substance abuse histories.

### **INTENSIVE SUPERVISION PROGRAM (ISP)**

The crisis of prison overcrowding and evidence-based practices in Iowa has forced those charged with the administration of correctional programs for high-risk offenders to consider their early release and/or supervision in the community. The ISP program is designed to explore accelerated release to parole and an alternative to incarceration for selected probationers. The goal is to increase monitoring, treatment intervention and enforcement of stringent conditions of release to facilitate the successful completion of the parole or probation period.

The major program objective is the reduction of prison crowding without increasing risk to the community and the reduction of further offender criminal behavior and victimization. ISP offers the opportunity to provide close community supervision to selected offenders who would normally enter or remain in prison.

### **SEX OFFENDER TREATMENT PROGRAM (SOTP)**

The Sex Offender Treatment Program was specifically designed to help reduce sexual abuse victimization by providing treatment to those offenders who commit sex crimes. To address the increasing number of sex offenders assigned to supervision, the department has developed a comprehensive supervision and treatment program that utilizes specially trained staff (GPS Officers) to monitor high risk sex offenders assigned to GPS monitoring to ensure public safety. The SOTP program involves assessment, evaluation, professional counseling, perpetrator treatment groups (active and maintenance) and intensive supervision of sex offenders either within the residential facilities or under intensive supervision.

The goal of treatment is to reduce the risk of re-offending and make self-management possible. The outcome of treatment lies with the offender. By admitting their crime fully, acknowledging and accepting responsibility for their behaviors, feeling remorse and developing empathy with their victim, new skills can be learned so that there will be no new victims. Sex offender treatment appears to be a major factor in reducing future criminal behavior.

### **TRANSITIONAL PHASE PROGRAM (TPP)**

Transition Phase was developed to assist in the transition of high risk offenders moving from the RCF program to field supervision. The program is very similar in nature to ISP that involves close supervision of a specialized high risk caseload. The

TPP officer utilizes community resources and has the ability to return offenders to the RCF if it is determined that public safety or the offender is in need of more services. The goal of the program is to ensure reentry goals are met by the offender while ensuring public safety.

### **TREATMENT ALTERNATIVES TO STREET CRIME (TASC)**

Treatment Alternatives to Street Crime (TASC) provides an objective and effective bridge between this department and the local substance abuse treatment community. The **TASC Intensive Supervision Officer (ISP)** is assigned to the Scott County Field Office. The TASC ISP Officer supervises an intensive caseload of probation and parole offenders who are involved in ongoing treatment following the Relapse Prevention model.

The TASC ISP Officer completed complex courses of study in substance abuse and has substantial experience in working with persons with substance abuse addictions. The role of TASC is to intervene in the pattern of criminality manifested by the offender. That pattern is described as: **Addiction – Arrest – Prosecution – Conviction – Incarceration – Relapse – Re-addiction- Re-arrest**

TASC ISP Officer assists substance abusers by providing special assistance in coordinating referrals and services. In an effort to reduce the cost of supervision by directing the offender to the most appropriate treatment program based on ASAM PPC-2R.

### **DRUG COURT**

Drug Court is a special court with the responsibility of handling cases involving offenders with drug related convictions and those offenders that have criminal histories tied to drug addiction. Drug court has the capability of comprehensive supervision, drug testing, treatment services and immediate sanctions and incentives. It is a diversion program designed to divert non-violent substance abusing offenders from the criminal justice system (Prison). Drug court officers utilize numerous community partners to assist the offenders dealing with recovery and rehabilitation issues.

Drug Court is an Eighteen (18) month, four-phase program involving an intensive treatment continuum with weekly interaction with each participant. Participants initially attend weekly Court Hearings where their progress is reviewed by the Court.

The Drug Court is a post adjudication model. Following a plea by the offender, the offender is “sentenced” to Drug Court to comply fully with the program. Failure to do so may result in serving the initial sentence. Successful completion of the program in some cases will result in a dismissal of the criminal offense.

## **COURT COMPLIANCE PROGRAM**

This program was developed with funding from Scott County to address the failure rate of offenders granted unsupervised probation for misdemeanor offenses. The failure rate increases the average daily jail population unnecessarily. Although not providing full probation supervision of the offender; the program works to ensure and assist offenders with compliance with Court ordered conditions. In addition, to acting as a liaison between the Court and this department in court related matters.

## **ELECTRONIC MONITORING**

Electronic Monitoring is an adjunct to other community based correctional supervision and treatment requirements. It is primarily utilized for high risk offenders (sex offenders) and those required by law or by the court as a condition of supervision. The department is utilizing the latest innovations in electronic surveillance to more effectively monitor high risk offenders. The department currently uses active monitoring units, mostly utilized by offenders in SOTP. Through the use of these units, the safety of the community can be greatly enhanced and the accountability of the offender is maintained.

Global Positioning Satellite (GPS) is the most innovative electronic surveillance technology used by criminal justice agencies. The system combines GPS technology and advanced wireless communication protocols, flexible reporting and unique mapping capabilities to effectively track offenders twenty-four (24) hours a day, seven (7) days a week. The Central Command Center (CCC), located in Des Moines, Iowa, is the main information area.

The goal of electronic monitoring is to minimize the risk to the community through monitoring offender's movements 24 hours a day.

## **SUBSTANCE ABUSE LIAISON**

The Substance Abuse Liaison position is responsible for assessing the extent of an offender's substance abuse problem using the American Society of Addiction Medicine Patient Placement Criteria-2R (ASAM PPC-2R). After gathering extensive information from the offender and their supervising officer, the appropriate level of care is determined, a formal report written, treatment is scheduled and all information is forwarded to the treatment provider and supervising officer. The Substance Abuse Liaison staffs parolees with the Re-entry Coordinator to determine the substance abuse needs of the offender returning to the community. When identified by offender needs (LSI-R) or required by the Court completes substance abuse screening on RCF and WRC admissions.

The department discontinued this position in FY 2010 due to State budget issues that have impacted funding availability for a number of department positions.

### **REENTRY COORDINATOR (RC) Community Treatment Coordinator**

The Reentry Coordinator works with the Institution staff to facilitate the release of offenders that have been granted or have the possibility for being granted parole. The RC verifies the offender's release residence and support network before release. In addition the RC coordinates services in the community for the offender and sets appointments before the offender leaves the institution. This effort is believed to enhance the released offender's probability of having a successful transition from prisons to community supervision. The RC also meets with the offender and completes a number of assessments used to determine the offender's needs and risks before case assignment to a field officer. This allows for the field officer to have the assessments available to use in the negotiation of the offender's reentry case plan. The development of this position has improved the delivery of services to this population and has had a positive impact on their transition to community supervision.

### **VICTIM IMPACT GROUP**

The Victim Impact Program is designed for offenders to grasp the concept that crimes are committed against real people and that a victim is forever changed. The program consists of (10) weekly groups discussing the impact crimes have on victims. The key component is the victim panels that allow for victims to address offenders and discuss in an open forum the impact crime has had on them; personalizing the effect of crime to the offender.

### **CULTURAL DIVERSITY GROUP**

In 2008 Residential Officer, Sharita Couch, former Residential Manager, Mark Matkovich along with Pastor Roger Kirk from the First Missionary Baptist Church in Davenport, Iowa developed a group to work with African American offenders because of the limited resources available to address their needs. Their overall goal is to address the overrepresentation of African Americans in the Iowa Criminal Justice System, through addressing the needs unique to the African American offender in the Iowa Criminal Justice System. The group is open to both male and female offenders; pre or post incarceration. The group has significant support from the community from local facilitators to the ability to hold the groups off district grounds at the First Missionary Baptist Church. The ability to hold groups off site of district property allows for offenders to have a more open forum to discuss personal issues they face on a daily basis. The group is (10) weeks long, focusing on the value of daily life experiences, including: family, relationships, parenting, peer association, addictions, batterer's education and how to move forward in life after incarceration. The group incorporates a variety of techniques including role playing, small and large group

activities and support network building.

### **SUBSTANCE ABUSE AFTERCARE GROUP (TASC ISP OFFICER)**

Substance Abuse Aftercare Groups are held at the Work Release Center on a weekly basis. They are facilitated by the TASC ISP Officer that is a certified substance abuse counselor. The group discusses relapse and maintenance issues that are unique to offenders addressing recovery issues.

### **CONTROLLING ANGER & LEARNING TO MANAGE IT (CALM)**

CALM is a (12) session cognitive restructuring group with a (6) week aftercare component. Participants identify and work on changing thoughts and beliefs that cause negative emotions that result in hurtful, illegal and sometimes violent acts. CALM teaches duration of their anger as well as other negative emotions that may lead to criminal activity.

### **OFFENDER EMPLOYMENT SPECIALISTS (OES)**

The department secured a 2 year grant from the Bureau of Justice Assistants for the Second Chance Act Grant in FY 2010. Allowing the department to hire (2) Offender Employment Specialists (OES). The OES' primary function is to assist offenders with securing and maintaining employment, primary focus is with residential offenders. The OES establishes relationships with local employers in an effort to build a partnership to employ offenders housed in the residential facilities. The OES also offers assistance for offenders under field supervision but are not being housed in either of the residential facility. The OES works directly with offenders to monitor their efforts in obtaining employment, maintaining employment and working with offenders on resume and application writing.

# Key Statistical Information FY 2011

**PRETRIAL RELEASE**

**INVESTIGATION (PTR):**

FY 2006	4,567
FY 2007	4,184
FY 2008	3,673
FY 2009	3,763
FY 2010	3,538
FY 2011	3,604
Cost per Interview <b><u>\$36.53</u></b> (FY 2011)	

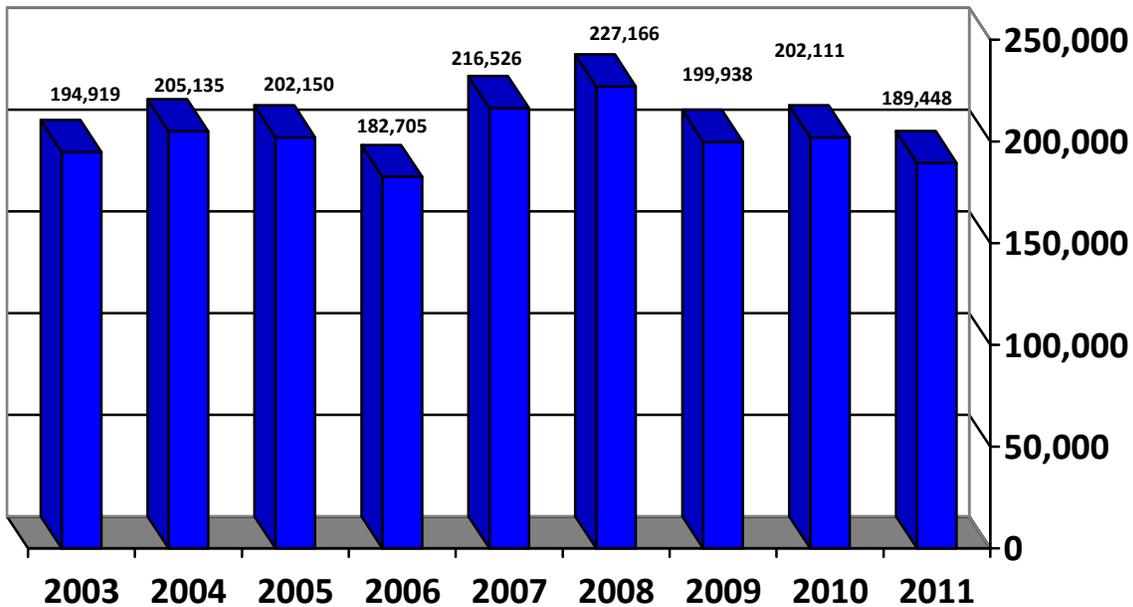
**PRESENTENCE INVESTIGATIONS**

**(PSI):**

FY 2006	960
FY 2007	985
FY 2008	974
FY 2009	824
FY 2010	865
FY 2011	1002
Cost per Investigation <b><u>\$559.13</u></b> (FY 2011)	

**ENROLLMENT FEE:**

Enrollment Fees collected by this Department: FY 2003 to FY 2011.

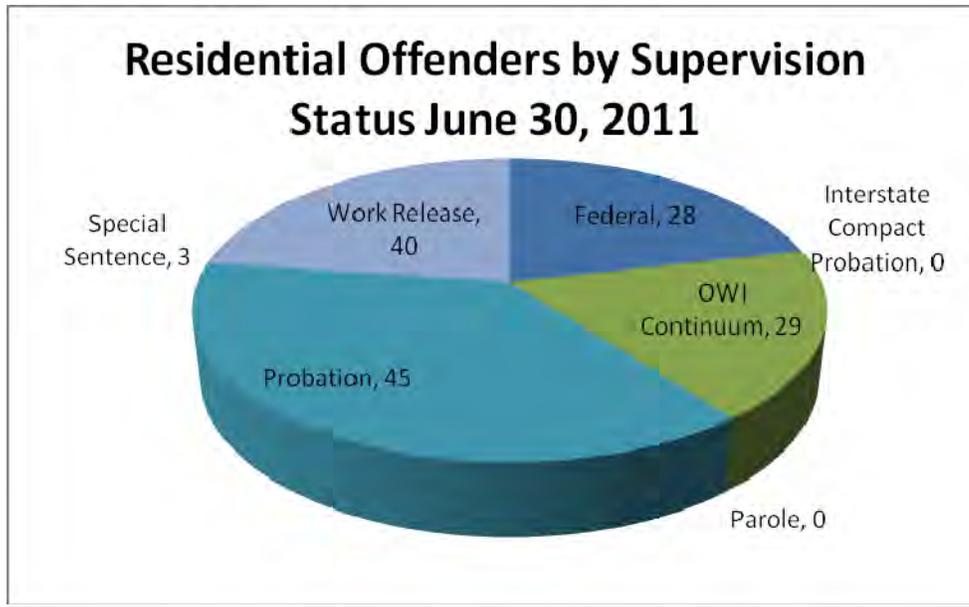


**RESTITUTION:**

The collection of restitution is an important part of community-based corrections. It focuses on accountability of the offender to pay financial losses to the victims of their crime(s), as well as allowing victims to recover financial losses that may have been incurred because of a crime. In cases closed by this department in FY 2011 \$250,869.15 was collected in victim restitution.

**RESIDENTIAL SUPERVISION:**

There were **145** offenders on active supervision in the two Residential Facilities on June 30, 2011. The following graph illustrates those offenders by supervision status.



The department’s residential facilities served **564** offenders in FY 2011; 1 Interstate Compact; 9 Special Sentence; 3 Parole; 154 Work Release; 193 Probation; 114 Federal; 90 OWI Continuum. There were 498 male and 65 female offenders served, 1 federal offender was considered unknown.

**OFFENDERS SERVED BY RACE**

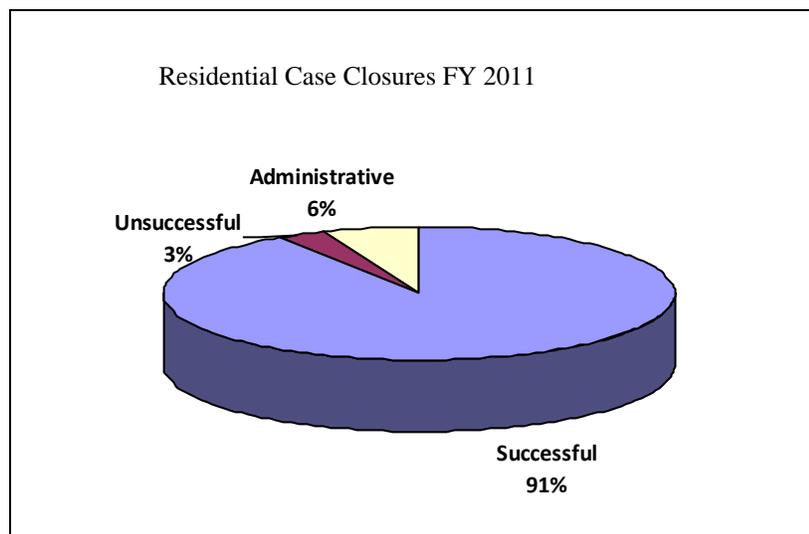
Offenders served in the Residential Corrections Facility and Work Release Center by Race. There was a decrease in offenders served by the residential facilities in FY 2011; there was a significant decrease in White \*Non-Hispanic, alarming is the increase in Black Non-Hispanic offenders served with there being fewer offenders served by residential services.

	FY 2009	FY 2010	FY 2011
American Indian/Alaska Native * Hisp.	1	0	0
American Indian/Alaska Native *Non-Hisp.	5	4	2
Asian/Pacific Island *Non-Hispanic	1	1	0
Black *Hispanic	2	1	2
Black *Non-Hispanic	158	191	197
Unknown *Non-Hispanic	5	4	2
White *Hispanic	43	48	43
White *Non-Hispanic	332	352	318

## **RESIDENTIAL CASE CLOSURES**

Residential correctional facilities across the State play a major role in offender reentry efforts. The correctional facilities allow for a transitional environment for offender's returning to the community from prison. The semi-structure environment assists in the offender's transition to the community by giving the offender time to secure employment and establish a support network before returning to the community on a full time basis. In addition residential facilities offer offenders on field supervision a structured environment as an option to address negative behaviors while essentially remaining in the community. In FY 2011 there were **228** offender case closures in the two residential facilities, with **205** being considered successful.

The following graph illustrates the percentage of case closures in the residential facilities.



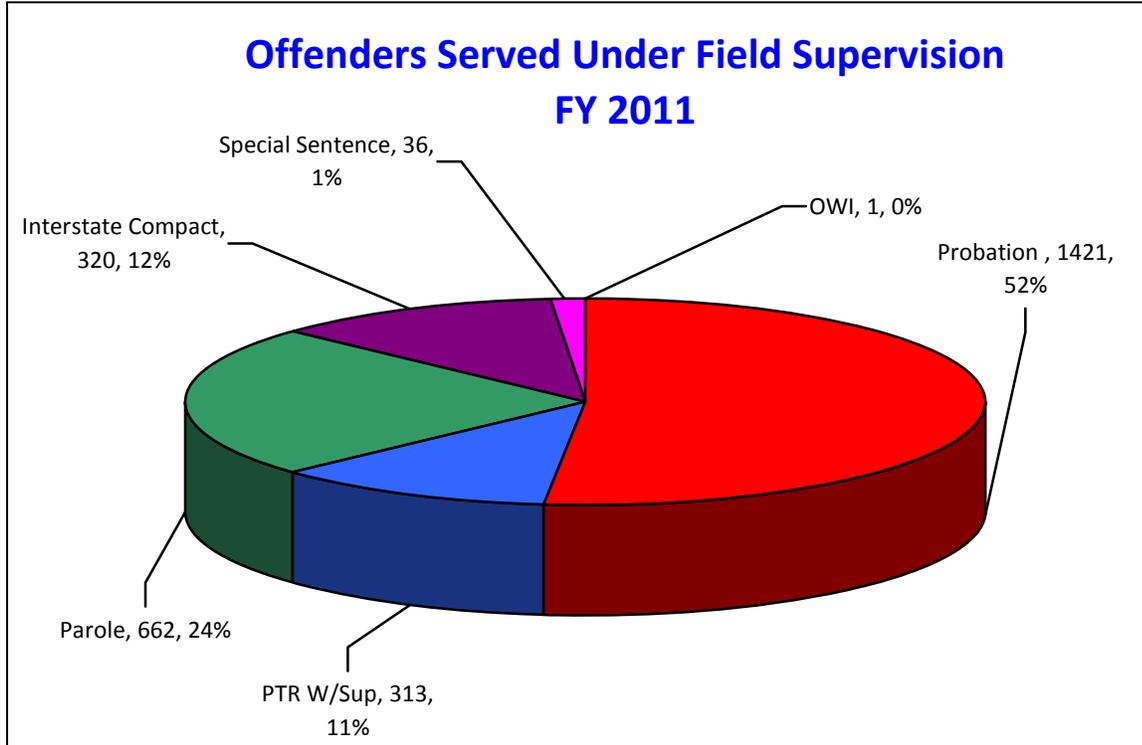
## **RESIDENTIAL LENGTH OF STAY (LOS) BY SUPERVISION STATUS:**

The following information contains residential cases successfully closed by supervision status. The average length of stay by offenders in the two residential facilities operated by this department was **4.4** months, meeting the State wide average of 4 months. LOS (Length of Stay) Days- the number of days served by offenders in the residential facilities, Count Closures- cases successfully completing residential program, either through successful discharge or placed on field supervision.

Supervision Status	LOS Days	Count Closures	Successful Closures
Work Release	10,057	73	4.5
OWI Continuum	7002	55	4.2
Special Sentence	102	1	3.4
Federal	10,950	Federal	4.2
Probation	10,478	77	4.5
Parole	201	1	6.6

**FIELD SUPERVISION-OFFENDERS SERVED BY SUPERVISION STATUS:**

Field Supervision includes those offenders on Pretrial Release, Special Sentence, Probation and Parole supervision. The total number of offenders receiving services on field supervision in FY 2011 was **2,753**. The following graph illustrates the total number of offenders served by supervision status.



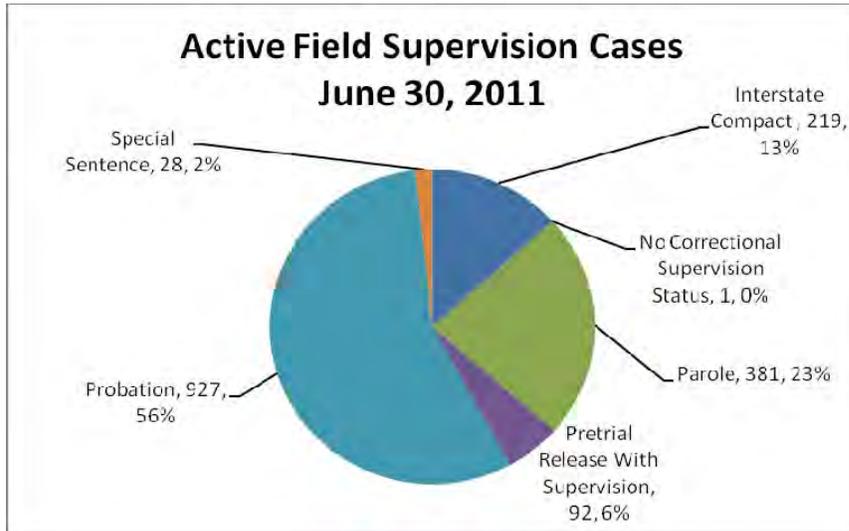
**OFFENDERS SERVED BY RACE**

Offenders served in FY 2011 on field supervision by Race. There was a decrease in Black Non-Hispanic by (5) and White Non-Hispanic by (68). There was a decrease in offenders classified as Unknown Non-Hispanic by (36).

	FY 2009	FY 2010	FY2011
American Indian/Alaska Native * Hisp.	0	0	0
American Indian/Alaska Native *Non-Hisp.	11	12	15
Asian/Pacific Island *Non-Hispanic	15	13	9
Asian/Pacific Island Hispanic	1	0	0
Black *Hispanic	6	6	3
Black *Non-Hispanic	603	597	592
Unknown *Non-Hispanic	93	52	16
White *Hispanic	212	207	200
White *Non-Hispanic	2004	1978	1910

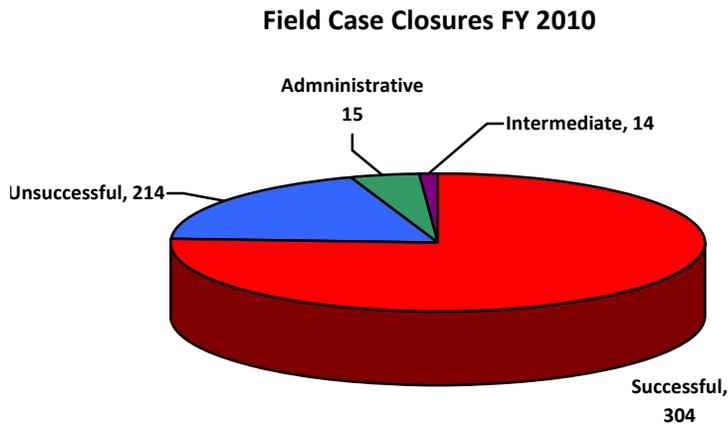
**OFFENDERS SERVED BY SUPERVISION STATUS**  
**JUNE 30, 2011**

The Supervision status of offenders under supervision on June 30, 2011 is shown below. Compact Supervision is supervision transferred from another state to Iowa. There were **1647** offenders on field supervision June 30, 2011.



**FIELD CASE CLOSURES**

The following chart represents field case closures in FY 2011. Successful includes discharge from supervision; unsuccessful includes revocation due to technical violations or new criminal offenses. Administrative includes amended charges, special court orders and death. Intermediate sanctions includes, offenders remaining under field supervision after intermediate sanction imposed.



**FIELD LENGTH OF SUPERVISION BY TYPE:**

Cases closed successfully under field supervision in FY 2011; length of supervision by months.

<b>Supervision Status</b>	<b>Felony</b>	<b>AGG Misd.</b>	<b>SRC Misd.</b>	<b>Other</b>	<b>Special Sentence</b>
<b>Interstate Compact</b>				<b>20.2</b>	
<b>Release w/Sup.</b>	<b>5.3</b>	<b>6.7</b>			
<b>Parole</b>	<b>20.8</b>	<b>6.5</b>			
<b>No Correct. Sup. Status</b>		<b>7.6</b>			
<b>Probation</b>	<b>29.1</b>	<b>29</b>	<b>19.8</b>		

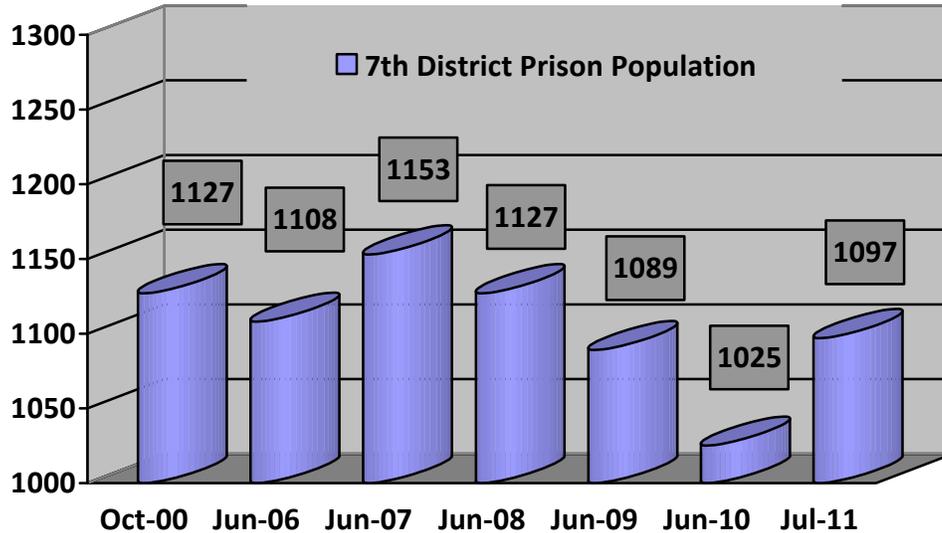
**SPECIALIZED INTERVENTION PROGRAMS**

The following list of specialty programs provides the number of offenders served by program and the statistical success rate in FY 2011.

<b>Programs</b>	<b>Offenders Served</b>	<b>Case Closures</b>	<b>Successful Completion</b>
Batterer's Education Program	627	328	75.9%
Drug Court Program	35	10	70 %
OWI Program	151	59	89.8%
Restorative Justice Program	68	28	78.6%
Sex Offender Program	90	28	46.4%
Intensive Supervision	68	32	53.1
TASC Program	43	21	57.1%
<b>Total Served</b>	<b>1,082</b>		

## **COMMITMENTS TO PRISON**

Prison commitments at the end of FY 2011 from the five counties in the 7<sup>th</sup> District were 1,097, reflecting an increase of 72 offenders from FY 2010.



## **ASSESSMENTS**

The department values the use and benefits of assessment tools in determining the best approach to work with offenders. The main tools utilized by this department are used to determine the Risk the offender has on the community and the Criminal Needs met by their illegal behavior. The graph below illustrates the three major tools used in measuring an offender's risk and needs in FY 2011. There has been an increase in offenders risk and need scores over the past three years.

<b>Assessment Tool</b>	<b>Year</b>	<b>Total</b>	<b>Average</b>
LSI-R (criminogenic needs)	2008	1787	26.65
	2009	1694	26.76
	2010	1777	28.09
	<b>2011</b>	<b>1707</b>	<b>27.74</b>
IA Risk Assessment (Risk)	2008	1117	11.27
	2009	950	11.77
	2010	1057	12.20
	<b>2011</b>	<b>1067</b>	<b>12.80</b>
IA Risk Reassessment (Risk)	2008	2558	10.00
	2009	2750	10.12
	2010	2677	10.30
	<b>2011</b>	<b>2670</b>	<b>10.32</b>

# Financial Reports

## Balance Sheet June 30, 2011

	ACCOUNT GROUPS		
	GENERAL FUND	GENERAL FIXED ASSETS	GENERAL LONGTERM OBLIGATIONS
<b>ASSETS</b>			
Cash and Investments	242,639	0	0
Accounts Receivable	142,122	0	0
Prepaid Expense	0	0	0
Fixed Assets	0	1,950,534	0
Amount to be provided for retirement of long-term obligations	0	0	856,487
<b>TOTAL ASSETS</b>	<b>384,761</b>	<b>1,950,534</b>	<b>856,487</b>
<b>LIABILITIES</b>			
Accrued Payroll	237,682	0	0
Accounts Payable	65,924	0	0
Due to State Treasurer	8,728	0	0
Compensated Absences	0	0	856,487
Obligations Under Capital			
Lease Agreements	0	0	0
<b>TOTAL LIABILITIES</b>	<b>312,334</b>	<b>0</b>	<b>856,487</b>
<b>FUND EQUITY</b>			
Investment in Fixed Assets	0	1,950,534	0
Unreserved Fund Balance	72,427	0	0
<b>TOTAL FUND EQUITY</b>	<b>72,427</b>	<b>1,950,534</b>	<b>0</b>
<b>TOTAL LIABILITIES AND FUND EQUITY</b>	<b>384,761</b>	<b>1,950,534</b>	<b>856,487</b>

**SEVENTH JUDICIAL DISTRICT DEPARTMENT OF CORRECTIONAL SERVICES**  
**Comparison of Actual Revenues and Expenditures to Budget**  
**For Year Ended June 30, 2011**

	<b>ACTUAL</b>	<b>BUDGET</b>
<b><u>REVENUES BY SOURCE</u></b>		
State POS	6,492,814	6,492,814
Intrastate Transfer	19,265	19,265
Interest	3,292	8,000
Residential Client Fees	274,549	310,000
Work Release Client Fees	210,926	212,974
Federal Client Fees	791,227	696,284
OWI Client Fees	188,692	148,000
County Support	158,699	169,446
Enrollment Fees	189,449	209,881
Miscellaneous Income	9,561	8,200
Batterers Education Program	75,667	84,632
Empl & MH Serv Grants	233,229	224,175
<b>Total Revenues</b>	<b>8,647,370</b>	<b>8,583,671</b>
<b><u>EXPENDITURES BY SOURCE</u></b>		
State POS	6,475,358	6,492,814
Interest	3,292	8,000
Residential Client Fees	274,549	310,000
Work Release Client Fees	210,926	212,974
Federal Client Fees	727,528	696,284
OWI Client Fees	188,692	148,000
County Support	158,699	169,446
Enrollment Fees	189,449	209,881
Batterers Education Program	75,667	84,632
Miscellaneous Income	9,561	8,200
Intrastate Transfer	19,265	19,265
Empl & MH Services Grants	233,229	224,175
<b>Total Expenditures</b>	<b>8,566,215</b>	<b>8,583,671</b>

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<b>FY11</b>	<b>1110</b>	<b>1140</b>	<b>1160</b>	<b>1200</b>	<b>1210</b>	<b>1220</b>	<b>1260</b>	<b>1270</b>	<b>1310</b>	
<b>Account Class/Program</b>	<b>Admin</b>	<b>Field Services</b>	<b>Residential</b>	<b>TASC</b>	<b>DRUG COURT</b>	<b>VIOL</b>	<b>Sex Offender</b>	<b>BEP</b>	<b>ISP</b>	<b>TOTAL</b>
101 Personnel Services	650,913	2,826,771	2,755,020	157,817	97,296	0	605,288	91,613	193,326	<b>7,378,044</b>
202 Personal Travel	2,322	2,455	5,139	35	380	0	3,797	0	196	<b>14,324</b>
203 Vehicle Operation	0	0	43,431	0	0	0	0	0	0	<b>43,431</b>
301 Office Supplies	6,822	20,179	8,220	500	0	0	1,001	1,965	1,306	<b>39,993</b>
302 Bldg Maint Supplies	0	354	10,163	0	0	0	0	0	1	<b>10,518</b>
304 Prof & Sci Supplies	0	2,637	2,705	1,000	1,652	0	0	0	0	<b>7,994</b>
306 Housing & Subsist Supp	0	0	0	0	0	0	0	0	0	<b>0</b>
308 Other Supplies	0	0	4,825	0	0	0	0	0	0	<b>4,825</b>
311 Food	0	0	297,399	0	0	0	0	0	0	<b>297,399</b>
401 Communications	472	15,248	7,754	500	0	0	2,165	500	966	<b>27,605</b>
402 Rentals	0	59,666	0	0	0	0	0	0	0	<b>59,666</b>
403 Utilities	8,232	44,859	136,473	2,000	0	0	3,791	4,000	1,164	<b>200,519</b>
405 Prof & Sci Services	0	56,461	144,326	0	527	0	19,124	58,940	0	<b>279,378</b>
406 Outside Services	0	6,215	26,963	0	0	0	0	0	24	<b>33,202</b>
407 Intrastate Transfer	0	0	0	0	0	0	0	0	0	<b>0</b>
409 Outside Repairs & Services	0	0	9,155	0	0	0	0	0	0	<b>9,155</b>
414 Reimburse Other Agencies	237	6,037	2,935	283	141	0	353	70	250	<b>10,306</b>
416 ITS Reimbursements	1,121	34,269	8,044	3,957	0	0	366	1,629	1,451	<b>50,837</b>
417 Workers Compensation	400	5,064	5,900	200	0	0	400	100	200	<b>12,264</b>
501 Equipment	0	0	33,006	0	0	0	0	0	0	<b>33,006</b>
503 Equip: Non-inventory	0	653	2,512	0	0	0	0	0	0	<b>3,165</b>
510 Data Processing	5,450	9,317	716	0	0	0	0	6,901	0	<b>22,384</b>
602 Other Exp & Obligations	0	0	28,200	0	0	0	0	0	0	<b>28,200</b>
604 Securities	0	0	0	0	0	0	0	0	0	<b>0</b>
901 Capitals	0	0	0	0	0	0	0	0	0	<b>0</b>
<b>TOTAL</b>	<b>675,969</b>	<b>3,090,185</b>	<b>3,532,886</b>	<b>166,292</b>	<b>99,996</b>	<b>0</b>	<b>636,285</b>	<b>165,718</b>	<b>198,884</b>	<b>8,566,215</b>

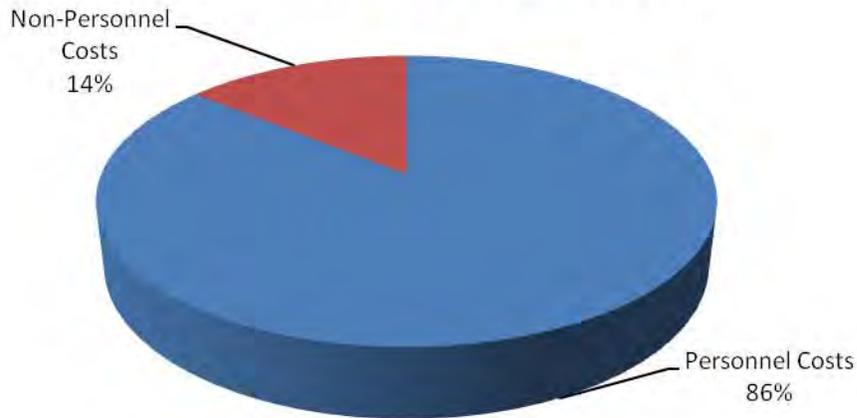
## Comparative Statement of Revenues and Expenditures

For Year Ended June 30, 2011

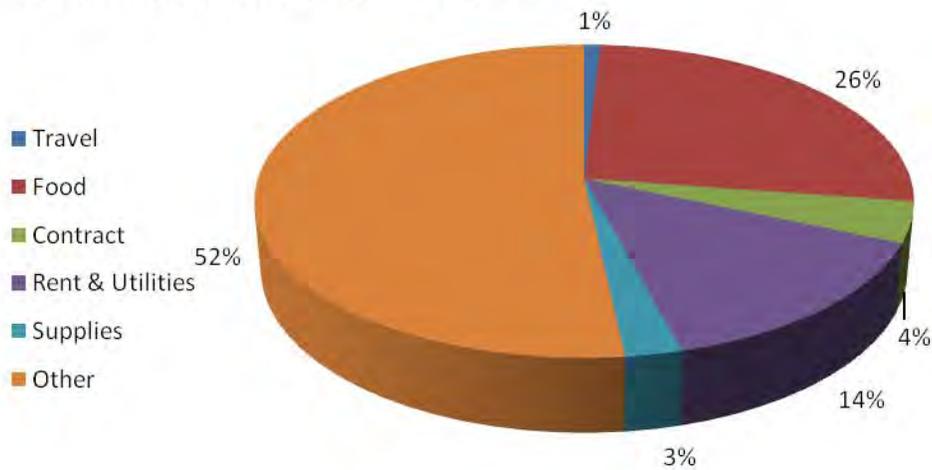
	2011	2010	2009	2008
<b>General Fund Balance Beginning of Year</b>	0	90,976	98,355	0
 <b>Revenues by Source:</b>				
State Appropriations	6,492,814	6,920,774	7,265,034	7,020,794
Intrastate Transfer	19,265	75,000	256,608	232,232
Interest	3,292	5,074	18,872	44,498
Client Fees	674,167	573,568	558,643	620,628
County Support	158,699	169,448	169,448	163,514
Enrollment Fees	189,449	202,111	199,939	227,166
Batterer Education Program Fees	75,667	76,430	72,371	71,634
Federal Work Release Contract	791,227	661,676	723,063	616,797
Employment & MH Serv.Grants	233,229	119,794	0	0
Miscellaneous Income	9,561	6,261	8,778	10,295
<b>Total Revenues</b>	<b>8,647,370</b>	<b>8,810,136</b>	<b>9,272,756</b>	<b>9,007,558</b>
 <b>Expenditures by Program:</b>				
Administration	675,969	539,010	394,878	377,233
Field Services	3,090,185	3,465,527	3,774,663	3,626,673
Residential Corrections Services	3,532,886	3,524,907	3,533,264	3,422,157
TASC	166,292	214,908	309,422	302,504
Drug Court	99,996	209,759	214,027	186,882
Violator	0	0	96,092	93,867
Sex Offender	636,285	601,197	599,331	548,144
Batterers Education	165,718	156,136	162,180	154,663
Intensive Supervision	198,884	189,668	196,228	197,030
<b>Total Expenditures</b>	<b>8,566,215</b>	<b>8,901,112</b>	<b>9,280,085</b>	<b>8,909,153</b>
 <b>Other Uses of Funds</b>				
Reversion to State	8,728	0	50	50
<b>General Fund Balance End of Year</b>	<b>72,427</b>	<b>0</b>	<b>90,976</b>	<b>98,355</b>

The charts below show the percentage of costs comparison with personnel expenses (salary and benefits) versus non-personnel expenses and the major categories of non-personnel expenses. The first chart clearly shows that personnel expenses are the Department's largest expense and the largest non-personnel cost is cost of contractual services.

### FY11 Personnel & Other Costs

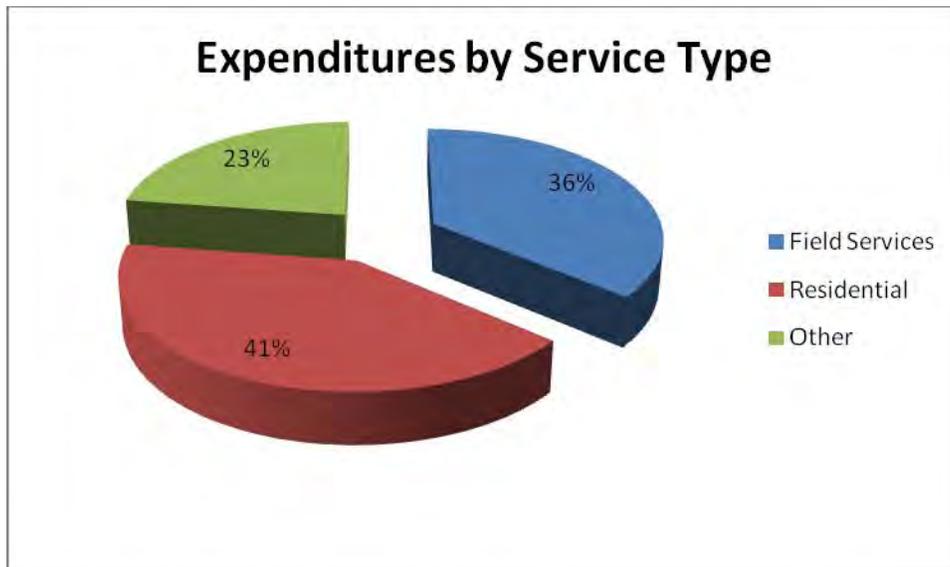


### Non-Personnel Costs FY11

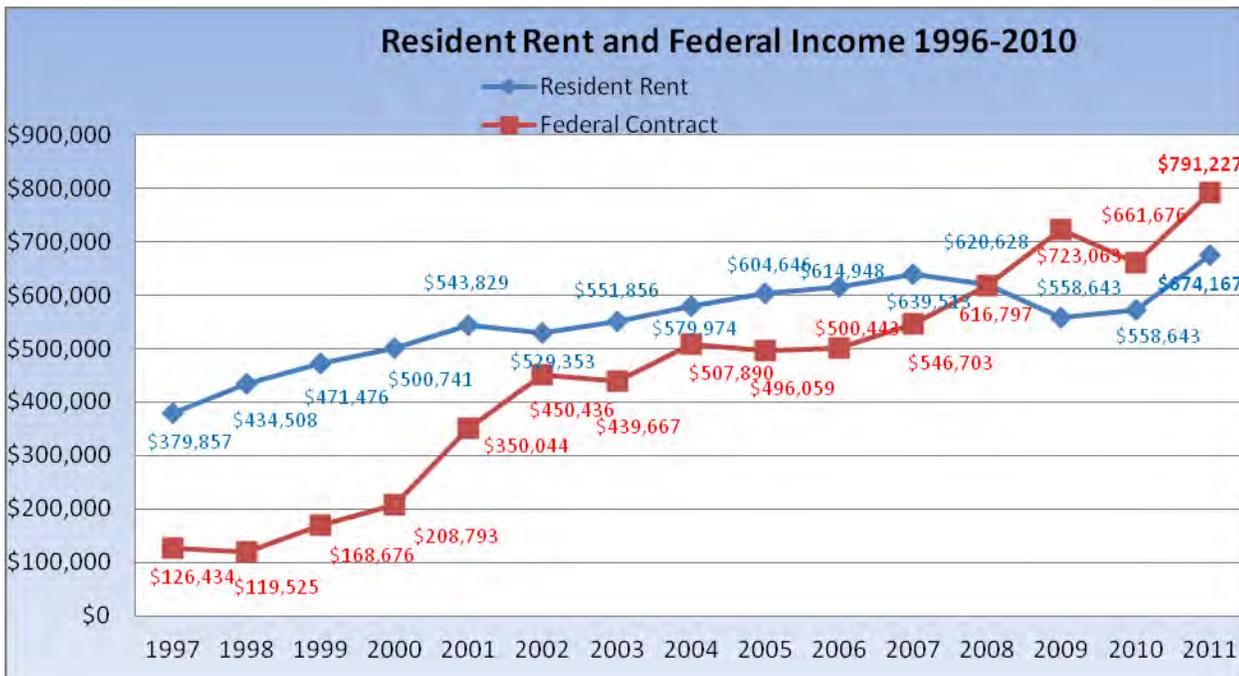


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The chart below shows the percentages of expenditures by major grouped cost allocation programs that the Department utilizes. It shows the comparison of the expenditures for residential programs, field services, and all other cost areas.



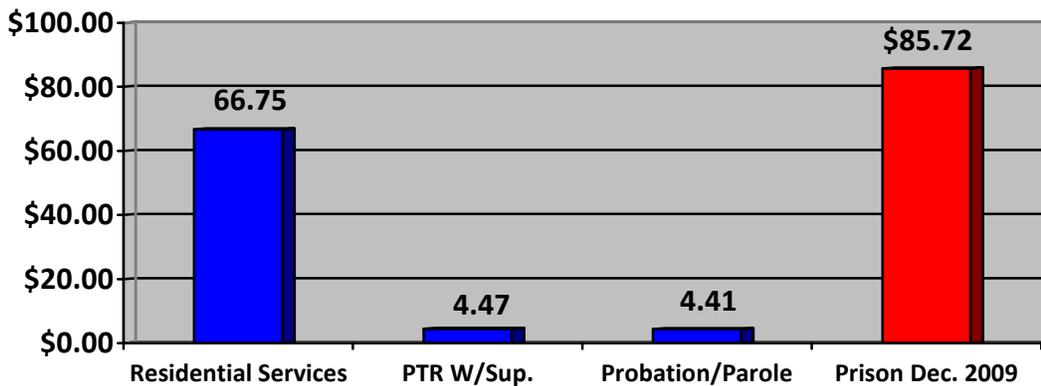
The chart below shows the significant increase in rent paid by residents and paid by the Federal Bureau of Prisons for residential services for federal offenders. This represents the increasing cost of operations paid for by the residents and the increased income from the BOP.



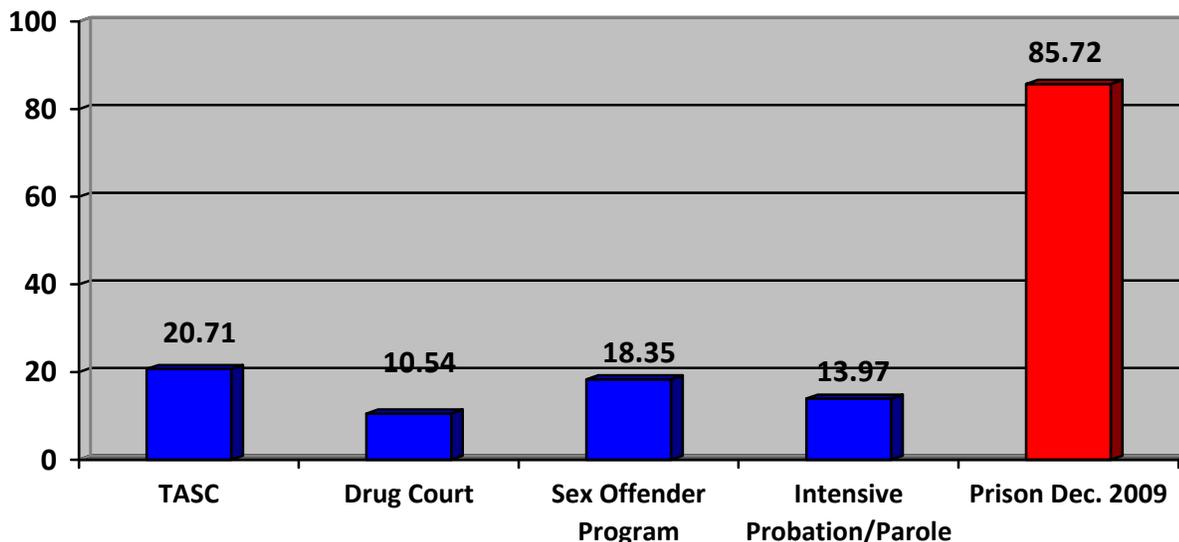
The cost of services is one of the key factors that drives for improvements and growth in community based corrections. The cost of incarcerating offenders in prison is becoming increasingly alarming without further growth in community based corrections we will see increasing pressure on prisons to release violent and high risk offenders early from prison.

Studies have proven that incarceration has a negative impact on offenders and in many cases increases the risk of recidivism. Community Based Corrections across the United States has proven to lower the risk of some offenders while ensuring the safety of the community. One factor driving the growth of community based corrections is the overall cost savings community supervision has compared to incarcerating offenders. According to the Iowa Department of Corrections in FY2011 the average daily cost to incarcerate an offender in the Iowa Prison System was \$85.72. The following graph illustrates the Department's average daily cost of services per offender under community supervision.

**Daily Cost of General Services**



**Daily Cost of Specialized Services**



## Staff and Programming Highlights

### BUILDING POSITIVE RELATIONSHIPS KEEPS US ALL SAFE



The 7<sup>th</sup> Judicial District is using the Community Resource Center, the former Work Release Center, to forge closer relationships with our partners in the law enforcement community. Rich Aleksiejczyk, PPO III has been a big part of bridging those relationships through his relentless efforts of communication building. The past two months has been a busy time in the now closed work release center. Rich coordinated the use of the empty building for several Tactical teams from local departments.



The Davenport Police Department, Bettendorf Police Department and the Scott County Sheriff's Office have performed strategic drills inside the building. The opportunity to practice breaching doors, working with K9 and other activities has made a profound impact on their officer's safety. It is these types of drills that might one day save us or others in the community, so it is a win-win for everyone involved. The Davenport Fire Department also has plans to work on rescue scenarios before the building is demolished.

#### Top Ten Most Wanted



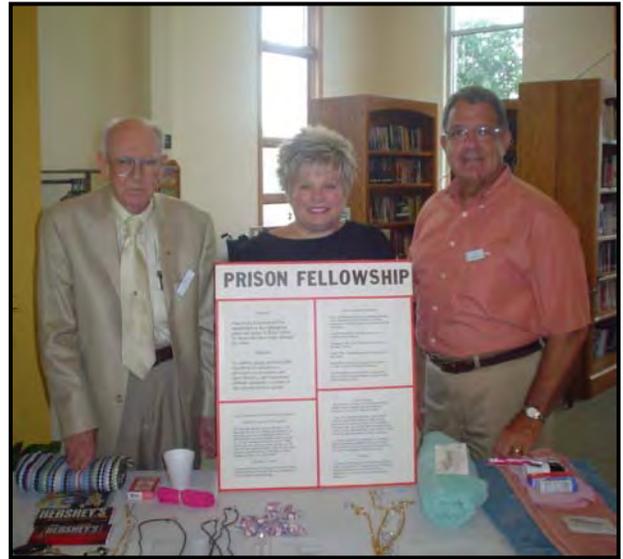
Rich Aleksiejczyk wears many hats, aside from his 7<sup>th</sup> District DCS position; he is a part-time police officer on the Buffalo Police Department and a Reserve Deputy for the Scott County Sheriff's Office. His combined positions allow him the opportunity to discuss the values of working together in an effort to lower crime and protect our communities. His efforts have opened many doors, creating a seamless line of communication between corrections and law enforcement.

Another great example of working with law enforcement is the creation of the department's **Top Ten Most Wanted**; Rich, PPO III (GPS Officer) creates and sends out a weekly top ten most wanted list of department offenders. The list includes absconders, escapes and offenders with active probation/parole warrants. In the past eight months, 72 of the 91 offenders that were placed on the list have been apprehended. The weekly updates have been well received by the law enforcement community; the offenders on the list seem to be the same ones that they are chasing in the streets.

## A Tribute to Dan Holck

For those who do not know Dan Holck, he is a strong Christian man that has dedicated a large portion of his life to Prison Fellowship. Dan was a member of Heritage Christian Church in Davenport for a number of years and joined Christ's Family Church in Bettendorf about 10 years ago. He has "faithfully" been coming to the RCF every Tuesday evening for the past 25 years.

Dan's subtle approach to engaging with the residents was offering prizes when they would complete the weekly bible quiz. Residents would win bicycles, key chains, stuffed animals, candy, pop, rugs, and alarm clocks. His wife Mary baked cookies (excellent baker) every week and baked a cake every month for the residents that had birthdays. Dan would even bring in a portable TV with a built-in VCR and residents were able to watch Christian-based movies.



Ben Ratekin, Cheryl Lunardi & Ed Hansen show off some of the outreach efforts started by Dan Holck

Dan encouraged and received participation from his congregations by soliciting funds for the purchase of our last two ping-pong tables (Dan enjoyed playing ping pong with the residents). Dan's congregation does a "towel" and personal hygiene drive for the residents at the RCF. These packets are put together with "God's Bridge to Eternal Life leaflet" towels and the hygiene items. Residents are given a packet upon intake. In addition to these packets, Dan has donated a large number of pillow cases, but it doesn't end there. Every Christmas, Dan's congregation put together Christmas gifts for the residents that included: hygiene items, towels, a deck of cards, and a spiral notebook, they were so much fun to distribute to all of the residents. This will not come as a surprise after reading the above, but Dan was nominated and given the ICA award for Volunteer of the Year in 1993.

On July 24, 2011, a representative from the RCF was asked to attend the church service at Christ's Family Church for their "Mission Moment". I could not have been more honored to attend and talk about the many mission moments that Dan dedicated his life in trying to make a difference in the lives of our residents. Dan was our NUMBER ONE FAN! He loved our program and loved interacting with the residents.

Unfortunately, this wonderful journey has come to an end because Dan is suffering from Dementia, but what a journey it has been, what dedication. Dan has touched the lives of hundreds of men and women through his generous acts of kindness and Christian friendliness. Please keep Dan and Mary in your prayers. [Cheryl Lunardi, Residential Supervisor](#)

## **“Enhancing your career with the Seventh Judicial District”**

**Presented by Tom McNamara, PPO III and Cheryl Lunardi, Residential Supervisor**



It seems May was a busy time in the district as management partnered with Labor to provide department staff with a training to give an over view of what management considers important attributes in promotional candidates. This included upward movement for those wanting to be promoted to PPO II, PPO III and Supervisor positions. This training was developed by the Workforce Diversity Committee in an effort to keep staff prepared for future promotional opportunities with the department and to inform staff of the importance diversity plays in our department. The increasing prison population in Iowa and retirements will create opportunities for staff to be promoted in the coming future. This is in part an important step in preparing and retaining staff for leadership roles in the department. This was an important step to let staff know that management values the work they do and that they have the opportunity to lead our department in the future.



### **Happenings around the 7<sup>th</sup> Judicial District**

The district hosted the Spring Iowa Corrections Association conference (ICA) in May of this year. Pictured are conference Tri-Chair's, Jennifer Rice (Scott County Sheriff's Department,) Tom McNamara Probation/Parole Officer III and Angie Morris, Community Treatment Coordinator (Reentry Officer). The conference was a major success on many levels; it provided top notch training; ICA membership grew and it was well received from outside agencies. The total attendance for the conference was well over two hundred. The large attendance during these tough budget times is a great example of why the Iowa Department of Corrections is considered a leader in corrections across the nation; top notch from bottom to top.



## Residential Officer, Theresa Lawrence goes above and beyond.

Theresa Lawrence, Residential Officer is a self motivator and more, takes on the many challenges of reaching out to help our community. Her most recent challenge was working with the Davenport Weed and Seed Program to help clean up high risk neighborhoods in Davenport, near the Residential Corrections Facility. Theresa rounded up a group of resident volunteers from the RCF and hit the pavement to get the job done. Theresa notes some of the following as to the experience; “Everyone did an awesome job during this year’s community clean sweep effort covering from Marquette to Division Street. We had a total of fourteen guys that were split up in to 3 groups. Public works provided lunch and a tour of the new public works facility. We even got an extra bonus and found some Morale mushrooms in the alley, never saw that before but what an awesome surprise. “All and all, the residents did exceptionally well in their behavior as well as a good job in picking up garbage.” This is Theresa’s second year working with community agencies getting the neighborhoods around the RCF a good cleaning.



## District Blood Drive continues to burgeon through the years

Debbie James came through again this October with another well organized Blood Drive. Debbie has been chairing the District’s Blood Drive for over ten years and has drummed up good business. She has even reached out to retired staff, having them return to their old stomping grounds to give a donation. Debbie is involved in many outreach programs but after ten years as the chair for the district’s blood drive it is time to recognize her efforts and she is a great example of working for the betterment of others. Great job!



## Community Activism

Being active in our community is just another way to be involved with people who need our help. Here is Tom McNamara, and his son (Sean) working a tootsie roll drive, “Helping Children with Intellectual Disabilities”.

You can often here Tom say that in times of tragedy is when we see the true meaning of community. Having seen many floods in Iowa over the past ten years he uses the analogy that people filling sand bags to stop flooding don’t care “who is who” but know that they are all working together to protect their community. “It is the goal of the department to protect the community by changing behaviors we also tends to change our own....” Tom McNamara



## Community Caring Conference: Quad City Hero Awards Banquet

Lewis Washington, ISP Officer was recognized on October 7th, at the Community Caring Conference, 17<sup>th</sup> Annual Quad City Hero Banquet. Lewis received the LAW ENFORCEMENT Award. This award honors any outstanding law enforcement person or agency that has made a significant difference within the community. We are proud to recognize the efforts of Lewis Washington. He developed the district's ISP program and continues to build relationships with local leaders in his efforts to protect the community and help those working towards change.



Community Caring Conference Board Chairman, Margie Mejia-Caraballo presents Lewis Washington of the Iowa Department of Correctional Services with one of the Law Enforcement awards of the evening

## Drug Court Graduates 5 in January

The rewards in our profession are few and far between. The major issue is we don't see the successes that we are part of as offenders leave our supervision. I was fortunate enough to attend a drug court graduation in January where I listened to offenders talking about winning the battle with addictions and criminal thinking. The battle goes on for them as they transition from correctional supervision to no supervision; each knowing that the way to stay clean and sober is to continue their strong relationships with those in the recovery community



Judge Mark Cleve made a great point at the graduation. It made me think about what we see on the job and the lack of positive milestones to reflect upon as we see offender after offender return to supervision. He summed it up by saying there are very few positive things he and others working in this field get to see or do. He went on to state there are only two things that comes to his mind that a judge gets to feel good about; first, being able to sign adoption papers with parents; secondly being able to present graduation certificates to drug court participants. Judge Cleve remarked how he has seen people change as they progressed through the drug court program. It hit home with me because we really don't see the positive impact we have on offenders exiting the criminal justice system. We only see those that come back to us on new supervisions. Just remember those offenders that don't come back, out number the ones that do!

Don't give up the battle and consider attending a drug court graduation to hear graduates talk about the battles they went through to take control of their lives.

## THE POLAR PLUNGE WAS A BIG SUCCESS!!!BY MANY ACCOUNTS

Jennifer McAndrew and other department staff came together for a good cause (**Special Olympics**). It gave staff just the right excuse they needed to be out on a sandy beach in October. The Polar Plunge was held at Scott County Park October 16<sup>th</sup> and as you can see it was a great day to hold the event. Staff drummed up many unique ways to get donations; all in good fun. This is just another positive example of how our department staff, go above and beyond to help the communities we serve. Several staff and family are pictured here in their **Hawaiian** themed outfits getting ready for this year's plunge. The **Polar Plunge** is held in conjunction with **TIP a Cop** that was held early this year at Texas Roadhouse in Davenport where they raised over \$3,500 locally and \$18,000 State wide for Special Olympics. The Polar Plunge collected an additional \$8,000 for Special Olympics.

**Congratulations** to all those who took the plunge and to all who supported the drive through pledges. Pictured are: Charles Hodson, Bob Behm, Jennifer McAndrew and her husband, Mike Aleksiejczyk and his daughter, Debbie and Joe James, Jennifer Wirtjes, Orlando Rodriguez, Jane Maddy-Vasquez, Johnna Kay and her partner.



## QUICK ACTION SAVES LIFE!



We have a life saver amongst us; **Charles Hodson**, Residential Officer at the RCF. He was quick to react when a resident began to choke in the dining area at the RCF. His quick actions in applying the Heimlich maneuver to dislodge food in the resident's throat might have saved his life. Charles (Chuck) was a participant at the department's department's CPR training last month; it is these types of trainings that help prepare us for the emergencies that arise throughout our lives. The resident was heard saying that he plans to eat his food a little slower after the experience.

Linda Russell and Mike Aleksiejczyk have done a great job at presenting our annual training on First Aid and CPR. Shown is Jennifer Wirtjes participating in this years CPR training.



## Angel Tree rewards volunteers!

CRC staff came together this holiday season to show their giving nature. Several anonymous staff came up with a great idea to give back to those in need by participating in the **Salvation Army's ANGEL TREE program**. It allowed staff to purchase Christmas gifts for disadvantaged youths in the community.

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## Employee Luncheon a Big Success!!!!

Director Jim Wayne was recognized at the Annual Employee Fund holiday luncheon for his efforts and time put into getting the new building up and running. Over the course of the two year construction project Jim could be seen working late at night and on the weekends to get the job done. Congratulations!

Another great job by Brenda Farm and Tim McClimon on throwing together another employee fund luncheon.



## Changing of the Guard; Board Members from three counties change after losing in the November elections.

Certificates of appreciation were awarded to LeRoy Moeller and Esther Dean by Larry Minard, Department Board Chairperson. Dennis Starling was also recognized for his service to the department. The retirement of the three board members leaves a large footprint for newly elected board members to fill. Their service over the years is greatly appreciated by department employees and members of the community. Retiring Board Member Esther Dean was a valuable resource, being an attorney in Muscatine she understood the value of the work we do in Iowa Corrections. LeRoy Moeller and Dennis Starling were the voices of reason for a number of years, all will be missed.

