

7th Judicial District Department of Correctional Services

# ANNUAL REPORT

**Fiscal Year 2000**

(July 1, 1999 - June 30, 2000)

This Annual Report is prepared pursuant to Section 905.4 of the Code of Iowa. The report includes an overview of Fiscal Year 2000, proceedings of the Board of Directors, fiscal statements, and statistics illustrative of the Department's general workload and case activities.

Additional information about the Department of Correctional Services may be obtained by contacting:

James Lee Wayne, District Director  
**Department of Correctional Services**  
605 Main St.  
Davenport, Iowa  
319-322-7986

Also available for review is the Department's Budget and related information.

Otto Ewoldt  
Chairman  
Board of Directors

**JAMES LEE WAYNE**  
District Director

# ANNUAL REPORT

FISCAL YEAR 2000

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## Department Development Highlights

- **November, 1974** Senate File 482 establishes the Department of Correctional Services to provide for misdemeanor presentence investigations, pretrial release and supervision services.
- **July, 1977** The merger occurs between the State of Iowa Bureau of Community Correctional Services and the Department thus adding felony presentence investigation and probation supervision.
- **1977** A Residential Corrections Facility is established at 326 W. 2<sup>nd</sup> St., Davenport to provide pre-institutional residential services.
- **1982** Relocation of the Residential Corrections Facility to the site of the newly-constructed facility at 1330 W. 3<sup>rd</sup> St., Davenport (36-bed capacity).
- **1984** With the formation of a separate Department of Corrections, the Department assumed control of Parole and Work Release Services.
- **1987** The Department acquires the building at 605 Main St., Davenport and establishes this as the new location for the Work Release Center (60-bed capacity), field and administrative services.
- **1992** After additional construction and remodeling, the Residential Corrections Facility capacity is raised to 56 bed spaces.
- **1999** Office space is acquired at 605 Main St. to house new staff, to provide space for Polygraph and Plethysmograph services, and to provide for increase in residential capacity to 81 at the Work Release Center.
- **2000** Implementation of new offender data base: Iowa Correctional Offenders Network system. Court Compliance Program implemented in Scott County, with county funding, to reduce jail population by reducing revocations of unsupervised probation offenders.

## Basic Services

- **PRETRIAL SERVICES** The Courts are served with information and services to provide for the early release of offenders prior to sentencing either with or without supervision.
- **PRESENTENCE INVESTIGATIONS** In-depth investigations are provided to the court to provide assistance in sentencing and in later incarceration or community supervision.
- **PROBATION SERVICES** In lieu of incarceration, a full range of services and monitoring are applied to the community supervision of offenders. This might include intermediate sanctions, such as residential services as a condition of probation.
- **RESIDENTIAL CORRECTIONS** The Residential Corrections Facility provides short-term preinstitutional programming for those placed there under a court-ordered condition of probation.
- **PAROLE SERVICES** Postinstitutional community supervision is provided for those released from prison or discharged from work release programs.
- **WORK RELEASE** After release from prison, the staff of the Work Release Center residential program provide transitional services and counseling prior to Parole or discharge. This program also oversees the Drunk Driving Program.

## Special Services

● **INTENSIVE SUPERVISION PROGRAM** A high degree of offender counseling and surveillance are applied in the intensive supervision process, coupled with the use of modern technology, which includes electronic monitoring. Intensive programs aid in easing prison populations while not sacrificing public security. Therefore, this caseload is small and manageable.

● **TREATMENT ALTERNATIVES TO STREET CRIME (TASC)** A combination of assessment, referral, counseling, group work and rigorous surveillance are necessary to ensure public safety while providing workable tools to this small, special caseload of offenders.

● **BATTERERS EDUCATION PROGRAM (BEP)** The Department provides a series of group sessions which those convicted of domestic violence are required to complete by the court. A significantly important component is a rigorous assessment, referral and monitoring process to ensure offender compliance.

● **SEXUAL OFFENDER TREATMENT PROGRAM** Clearly one of the highest risk groups for reoffense, an intensive program of counseling, confrontation, group education and surveillance help to secure victim satisfaction and public safety. Typically, this caseload is small, yet does aid in keeping institutional populations below capacity, while providing the treatment necessary in combination with the technologies of polygraph and plethysmography.

● **COMMUNITY SERVICE SENTENCING** This tool for connecting the offender with the offended community through significant work to benefit it has been used extensively by the courts. In cooperation, and in some cases by contracting with

*(Special Services...continued)*

local agencies, the Department provides meaningful work which rewards the community and the offender.

● **JOB DEVELOPMENT** The Department has had a longstanding relationship by contract for services with the Safer Foundation. Upon referral, Safer interviews, assesses and places offenders in community employment.

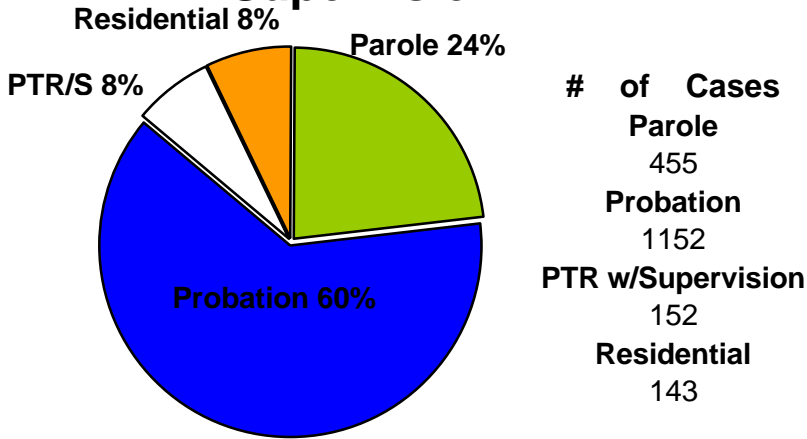
● **VIOLATOR AFTERCARE PROGRAM** Subsequent to referral and successful completion of an institutional violator program series to develop thinking skills, the Department undertakes to complete that training with a final series of group sessions to refine those cognitive skills. This is coupled with regular supervision in the community or in one of our residential facilities.

● **TRANSITIONAL PHASE PROGRAM** GASA grant funding continues this new program, which establishes a period of supervision intended to provide a smoother adjustment from residential corrections to "street supervision" through a system of counseling, referral and surveillance together with substantial involvement of collateral significant others and local agencies.

● **COURT COMPLIANCE PROGRAM** Two department staff members work with offenders who have been placed on unsupervised probation to ensure fulfillment of court order requirements such as substance abuse services, community service, and payment of fines or restitution. The goal is to reduce revocations which result in imposed jail sentences and add to overcrowding at the Scott County Jail.

## Charts, Graphs & Facts

### Fiscal Year End Cases Under Supervision



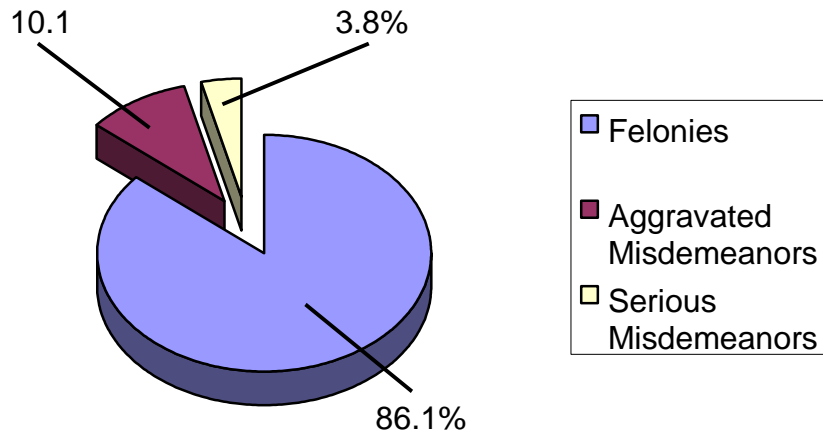
### FISCAL YEAR 2000 SUPERVISION HIGHLIGHTS

DEPARTMENT SUPERVISING STAFF WERE RESPONSIBLE FOR RESTORATIVE ACTIVITIES, SUCH AS:

- ✦ **RESTITUTION COLLECTION:** An all-time high of **\$729,398.00** was collected, an unbroken string of annual gains for the 25 or so years this figure has been recorded.
- ✦ **COMMUNITY SERVICE**, involving **701 OFFENDERS** for a total community investment of **65,714 HOURS**.
- ✦ **ENROLLMENT FEES** in the significantly increased amount of **\$126,152.00**. The collection of fees has opened new opportunities for expanded community service while saving community dollars. With supervising staff absorbing this new duty, *no additional staff* were hired for this function.

## Charts, Graphs & Facts

### Cases by Charge Type



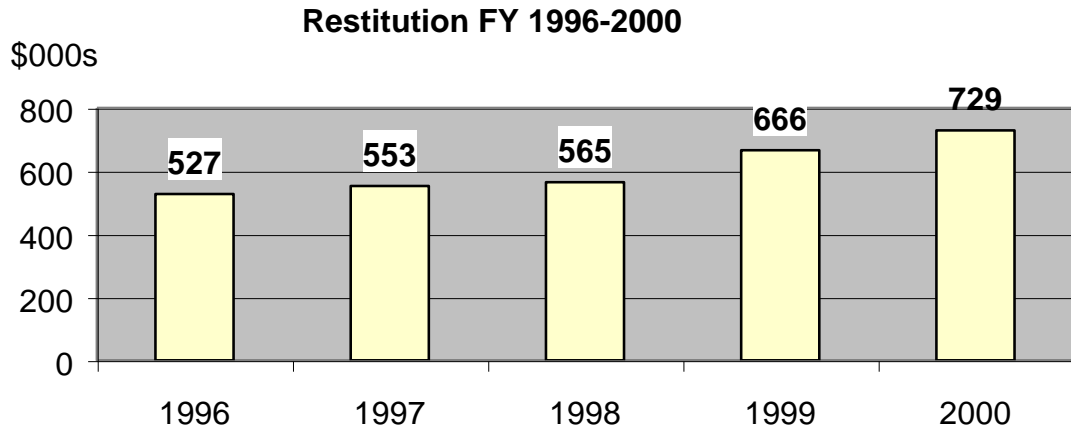
- ▶ Among all of the eight districts, the 7<sup>th</sup> District Department continues to focus its resources (86.1%) on the most serious offenders. The average for all other departments is only 52.8% (next high 77%, lowest 41%).
- ▶ By concentrating far less community resources on the least serious offenders, the greatest amount of the public's money is being invested by the 7<sup>th</sup> district in the offender population that poses the greatest threat to the community's peace, security and property.
- ▶ Throughout the years of phenomenal casework expansion, the Department has maintained a tight control on staff growth, opting instead for increasing the effectiveness of case management to deal with the mounting workload, thus saving public funds that would otherwise be spent on additional salaries.



## Charts, Graphs & Facts

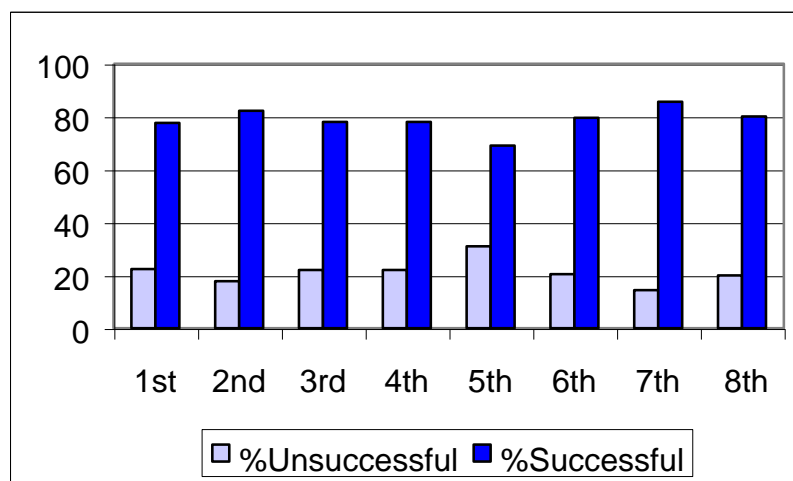
- **VICTIM RESTORATION THROUGH RESTITUTION**

The 7<sup>th</sup> Judicial District Department is next to the smallest in terms of numbers of offenders it supervises, yet ranks third among the district departments in the amount of victim restitution collected. The chart illustrates the rise in the collection of victim restitution over the previous five fiscal years.



- Though supervising the next to smallest number of offenders, the 7<sup>th</sup> District is second among the districts in terms of restitution collection per offender at \$388.39.

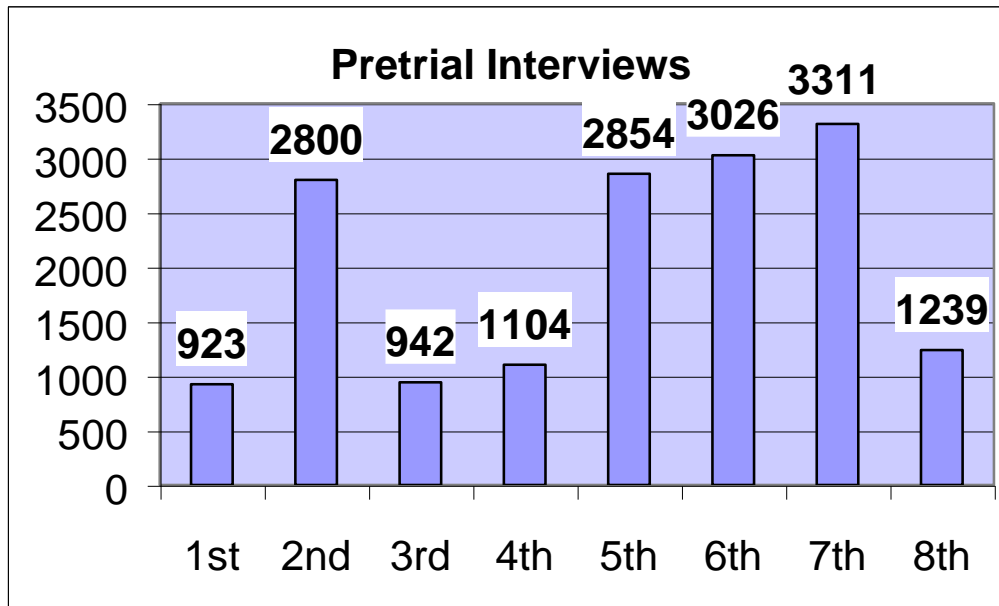
- **RESIDENTIAL CORRECTIONS' OUTSTANDING SUCCESS RATE**



- The 7<sup>th</sup> District boasts the highest residential success rate.

## Charts, Graphs & Facts

- The Department significantly impacts the numbers and types of releases after arrest for both misdemeanors and felonies.
- The 7<sup>th</sup> District Department conducts more Pretrial Interviews than any other Department, despite that it is seventh in terms of overall caseload size.



- Fully 1/5 or 20.4% of the Pretrial Interviews done in the State of Iowa are conducted by the 7<sup>th</sup> District Department.

## Charts, Graphs, & Facts

- Individuals on Parole status have historically been viewed by the general public as those who represent a higher risk for public safety. This is due to the fact that they have experienced the hardening environment of prisons with all its supposed harmful influences from other felons. Supervision in the communities involves a measured response to those public fears and affects the level of case intervention by the supervising officer.
- The chart below illustrates that this Department supervises fairly high numbers of parolees, based upon its caseload ranking (7<sup>th</sup>) among all districts' caseload totals. Parolees include the Intensive, Intensive Drug Offender and Intensive Sex Offender caseloads, as well as those not in the large designation of general parolee.

### Percentage of Parolees

Total Parolees: 2763

The 7<sup>th</sup> District is ranked 7<sup>th</sup> in term of caseload size and 2<sup>nd</sup> in terms of parolee supervision.

#### Percentage of Parolee Caseload per District Compared to Entire State Parolee Caseload Total

	1 <sup>st</sup> District	2 <sup>nd</sup> District	3 <sup>rd</sup> District	4 <sup>th</sup> District	5 <sup>th</sup> District	6 <sup>th</sup> District	<b>7<sup>th</sup> District</b>	8 <sup>th</sup> District
<b>Total # of cases</b>	3967	3355	2765	1306	7535	4186	<b>1878</b>	1927
<b>Total # of parolees</b>	272	335	290	138	661	290	<b>455</b>	322
<b>%age of parolees statewide</b>	9.8	12.1	10.5	5.0	23.9	10.5	<b>16.5</b>	11.7

#### Percentage of Parolees Compared to Individual District Caseload Totals

<b>%age of each District's Total</b>	6.9	10.0	10.5	10.6	8.8	6.9	<b>23.7</b>	16.7
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*The concentration of parolees is by far the greatest in the 7<sup>th</sup> District Department.*

# Board of Directors

## Highlights of Meetings & Plans

### **The Ongoing Duties of the Board of Directors**

The Board oversees all fiscal reports, expenditures, program expansion, building/remodeling, staff raises, purchases, grants, contracts, budget packages, changes to policies & procedures and auditing processes.

**The Board approves all contracts for Department services.**

**These include:**

- Purchase of Services: State of Iowa Department of Corrections
- Center for Alcohol & Drug Services
- Safer Foundation for offender employment services
- Safer Foundation for Community Service Sentencing
- Jim Hammes, sex offender polygraph examinations
- John Wirtz for provision of sex offender treatment services
- Clinton Substance Abuse Council for Community Service Sentencing
- Contract with DOC to develop Drug Court concept in Scott County
- Contract with DHS relative a 28E agreement to provide for community services by those who fail in providing child support

### **Other Board approvals and activities:**

- Dept. to seek continuation of grant funding for Transition Phase Program
- GASA grant for purchase of computer software for GED training at RCF
- Two Court Compliance Officers for Unsupervised Probation in Scott County to be funded by Scott County
- Department merges its Deferred Compensation Program with the state's
- GASA grant for Clinton office BEP facilitator as coordinator of BEP program
- Approved enrollment fee and resident rent hike in light of budget shortfall
- Prior to purchase of the Community Resources Center for expansion, the Board approved a complete structural analysis to provide necessary data to support seeking the appropriate funding from the state. Purchase of the building is pending, but will make possible much-needed office space for expanding departmental services.

## 2000 Board of Directors



**Otto Ewoldt, Chair**  
Scott County



**LeRoy Moeller, Vice-Chair**  
Cedar County



**Bob Holden**  
Judicial Appointee



**Bob Peterson**  
Board Appointee



**Esther Dean**  
Board Appointee



**Dave Maehr**  
Judicial Appointee



**John Oberhaus**  
Muscatine County



**Lewis Todtz**  
Clinton County



**J.C. Engel**  
Jackson County

**SEVENTH JUDICIAL DISTRICT DEPARTMENT OF CORRECTIONAL SERVICES**

**Balance Sheet**

**June 30, 2000**

**ACCOUNT GROUPS**

	<b>GENERAL FUND</b>	<b>GENERAL FIXED ASSETS</b>	<b>GENERAL LONGTERM OBLIGATIONS</b>
<b>ASSETS</b>			
Cash and Investments	229,675	0	0
Accounts Receivable	102,216	0	0
Prepaid Expense	680	0	0
Fixed Assets	0	1,604,819	0
Amount to be provided for retirement of longterm obligations	0	0	554,560
<b>TOTAL ASSETS</b>	<b>332,571</b>	<b>1,604,819</b>	<b>554,560</b>
<b>LIABILITIES</b>			
Accrued Payroll	111,948	0	0
Accounts Payable	92,437	0	0
Due to State Treasurer	55,434	0	0
Compensated Absences	0	0	554,560
Obligations Under Capital Lease Agreements	0	0	0
<b>TOTAL LIABILITIES</b>	<b>259,819</b>	<b>0</b>	<b>554,560</b>
<b>FUND EQUITY</b>			
Investment in Fixed Assets	0	1,604,819	0
Unreserved Fund Balance	72,752	0	0
<b>TOTAL FUND EQUITY</b>	<b>72,752</b>	<b>1,604,819</b>	<b>0</b>
<b>TOTAL LIABILITIES AND FUND EQUITY</b>	<b>332,571</b>	<b>1,604,819</b>	<b>554,560</b>

**SEVENTH JUDICIAL DISTRICT DEPARTMENT OF CORRECTIONAL SERVICES**  
**Comparison of Actual Revenues and Expenditures to Budget**  
**For Year Ended June 30, 2000**

	<b>ACTUAL</b>	<b>BUDGET</b>
<b><u>REVENUES BY SOURCE</u></b>		
State POS	5,265,098	5,265,098
Interest	35,927	38,558
Residential Client Fees	215,764	214,620
Work Release Client Fees	120,724	116,687
Federal Client Fees	208,793	173,550
OWI Client Fees	164,253	155,205
County Support	48,357	48,428
Enrollment Fees	126,152	119,116
Federal Pass Thru	46,199	47,365
Batterers Education Program Fees	77,636	73,715
Miscellaneous Income	11,367	13,200
<b>Total Revenues</b>	6,320,270	6,265,542

<b><u>EXPENDITURES BY SOURCE</u></b>		
State POS	5,209,664	5,265,098
Interest	35,927	38,558
Residential Client Fees	215,764	214,620
Work Release Client Fees	116,687	116,687
Federal Client Fees	156,027	173,550
OWI Client Fees	155,205	155,205
County Support	48,357	48,428
Enrollment Fees	126,152	119,116
Federal Pass Thru	46,199	47,365
Batterers Education Program Fees	70,735	73,715
Miscellaneous Income	11,367	13,200
Intrastate Transfer	0	0
FY99 Carry Forward Funds	24,637	24,637
<b>Total Expenditures</b>	6,216,721	6,290,179

**SEVENTH JUDICIAL DISTRICT DEPARTMENT OF CORRECTIONAL SERVICES**

**Expenditures by Account Class and Program**

**For Year Ended June 30, 2000**

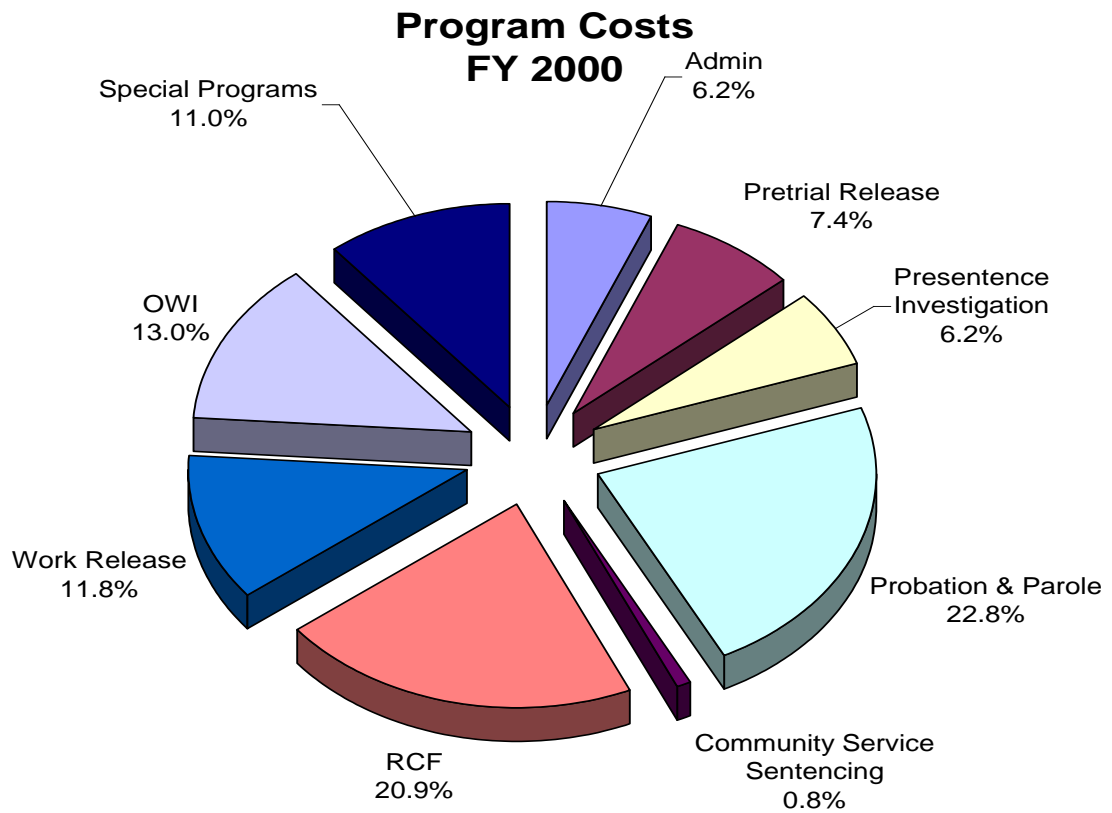
<b>Account Class/Program</b>	<b>1001 Admin</b>	<b>1002 PTR</b>	<b>1003 PSI</b>	<b>1004 PA/PB</b>	<b>1005 CSS</b>	<b>1006 RES</b>	<b>1007 WR</b>	<b>1008 OWI</b>	<b>1009 SPEC PR</b>	<b>TOTAL</b>
101 Personnel Services	346,369	407,537	346,830	1,270,771	0	1,032,017	532,233	515,061	509,995	4,960,813
202 Personal Travel	3,099	882	3,344	18,311	0	4,435	2,187	1,507	7,698	41,463
203 Vehicle Operation	0	0	0	0	0	9,720	4,252	3,935	5,280	23,187
301 Office Supplies	6,269	6,126	5,340	12,562	0	11,111	6,941	6,444	4,385	59,178
302 Bldg Maint Supplies	0	907	1,172	3,526	0	3,138	10,471	8,157	22	27,393
304 Prof & Sci Supplies	0	2,500	0	8,800	0	5,170	5,137	3,404	7,006	32,017
306 Housing & Subsist Supp	0	0	0	0	0	9,648	11,302	10,183	0	31,133
308 Other Supplies	0	0	0	105	0	3,024	627	628	0	4,384
311 Food	0	0	0	0	0	74,158	58,813	58,826	0	191,797
401 Communications	1,136	4,599	4,021	11,291	0	9,328	3,160	1,994	1,251	36,780
402 Rentals	7,412	10,840	19,666	53,129	0	4,381	46,292	44,546	760	187,026
403 Utilities	4,561	3,064	4,166	14,153	0	30,810	20,753	20,594	90	98,191
405 Prof & Sci Services	42	188	108	1,837	50,538	3,443	7,299	88,528	140,467	292,450
406 Outside Services	0	993	1,278	3,793	0	3,294	9,394	9,120	23	27,895
407 Intrastate Transfer	0	0	0	0	0	0	0	0	0	0
409 Outside Repairs & Serv	0	0	0	0	0	579	1,268	639	0	2,486
414 Reimb- Other Agencies	0	0	0	0	893	51	0	0	26	970
416 ITS Reimbursements	667	10,369	2,335	11,338	0	5,335	3,391	2,612	2,700	38,747
501 Equipment	0	0	0	0	0	16,741	1,482	4,342	0	22,565
502 Office Equipment	0	0	0	1,000	0	4,500	0	5,500	0	11,000
503 Equip: Non-inventory	0	382	0	2,765	0	6,756	3,467	2,143	1,649	17,162
504 Data Processing Inventory	0	0	0	100	0	8,500	226	7,000	0	15,826
505 Data Processing Non-Inventory	12,864	8,967	90	3,033	0	46,675	57	0	144	71,830
602 Other Exp & Obligations	100	100	100	200	0	8,342	391	1,238	200	10,671
604 Securities	0	0	0	0	0	0	0	0	0	0
901 Capitals	0	0	0	0	0	0	1,379	10,378	0	11,757
<b>TOTAL</b>	<b>382,519</b>	<b>457,454</b>	<b>388,450</b>	<b>1,416,714</b>	<b>51,431</b>	<b>1,301,156</b>	<b>730,522</b>	<b>806,779</b>	<b>681,696</b>	<b>6,216,721</b>



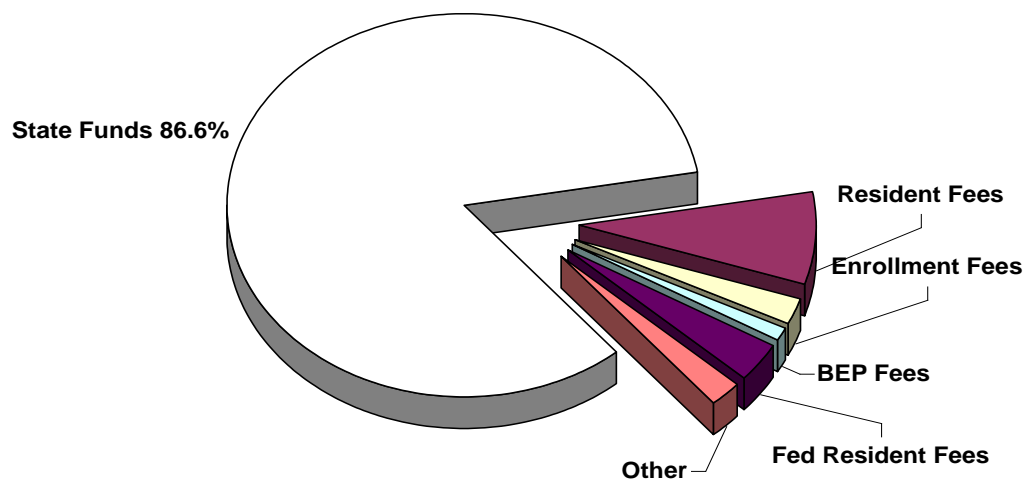
**SEVENTH JUDICIAL DISTRICT DEPARTMENT OF CORRECTIONAL SERVICES**  
**Comparative Statement of Revenues and Expenditures**

**For Year Ended June 30, 2000**

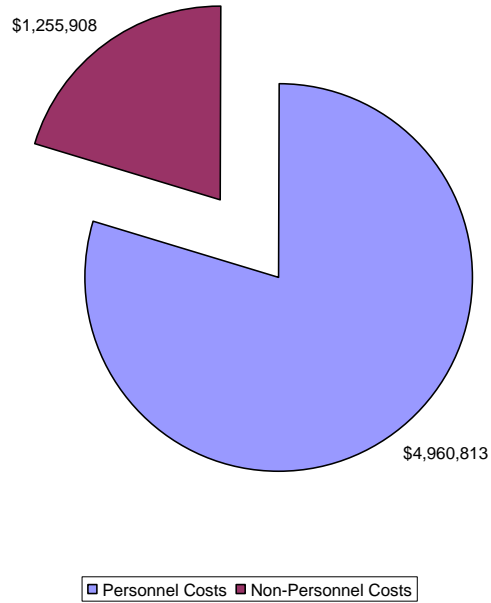
	<b>2000</b>	<b>1999</b>	<b>1998</b>
<b>General Fund Balance Beginning of Year</b>	24,637	51,292	37,147
<hr/>			
<b>Revenues by Source:</b>			
State Purchase of Service	5,265,098	4,931,035	4,759,928
Interest	35,927	38,152	40,043
Client Fees	500,741	471,476	434,508
County Support	48,357	20,474	19,499
Enrollment Fees	126,152	120,724	84,285
Batterer Education Program Fees	77,636	74,718	38,896
Federal Work Release Contract	208,793	168,676	119,525
RCF GED Program Grant	12,049	0	0
RCF Transition Phase Program Grant	34,150	32,500	0
Miscellaneous Income	11,367	12,558	13,889
<b>Total Revenues</b>	<b>6,320,270</b>	<b>5,870,313</b>	<b>5,510,573</b>
<hr/>			
<b>Expenditures by Program:</b>			
Administration	382,519	370,013	346,576
Pretrial Release	457,454	429,898	407,791
Presentence Investigation	388,450	372,161	363,170
Parole & Probation	1,416,714	1,328,136	1,261,668
Community Service Sentencing	51,431	66,588	47,998
Residential Corrections	1,301,156	1,216,982	1,116,263
Work Release	730,522	635,448	588,872
OWI	806,779	788,067	729,166
Special Projects:			
Safer	60,000	60,000	60,000
TASC	169,565	174,105	163,937
Violator	116,200	112,467	109,227
Intensive Supervision	251,476	243,684	239,785
Domestic Violence	84,455	88,720	44,102
<b>Total Expenditures</b>	<b>6,216,721</b>	<b>5,886,269</b>	<b>5,478,555</b>
<hr/>			
<b>Other Uses of Funds</b>			
Reversion to State	55,434	10,699	17,873
<hr/>			
<b>General Fund Balance End of Year</b>	<b>72,752</b>	<b>24,637</b>	<b>51,292</b>
<hr/> <hr/>			



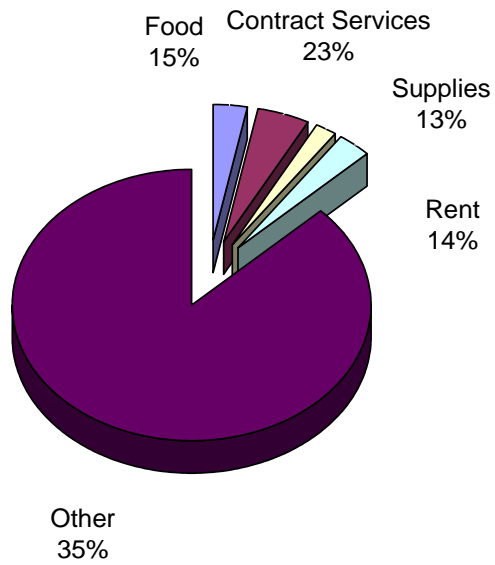
### FY 2000 Expenditures By Source of Funds



### Comparison of Personnel to Non-Personnel Expenses



### Non-Personnel Cost by Type FY2000



### Non-state Funds Expenditure by Source

