

7th Judicial District Department of Correctional Services

ANNUAL REPORT

Fiscal Year 1999

(July 1, 1998 - June 30, 1999)

This Annual Report is prepared pursuant to Section 905.4 of the Code of Iowa. The report includes an overview of Fiscal Year 1999, proceedings of the Board of Directors, fiscal statements, and statistics illustrative of the Department's general workload and case activities.

Additional information about the Department of Correctional Services may be obtained by contacting:

James Lee Wayne, District Director
Department of Correctional Services
605 Main St.
Davenport, Iowa
319-322-7986

Also available for review is the Department's Budget and related information.

Otto Ewoldt
Chairman
Board of Directors

JAMES LEE WAYNE
District Director

ANNUAL REPORT

FISCAL YEAR 1999

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Department Development Highlights

- **November, 1974** Senate File 482 establishes the Department of Correctional Services to provide for misdemeanor presentence investigations, pretrial release and supervision services.
- **July, 1977** The merger occurs between the State of Iowa Bureau of Community Correctional Services and the Department thus adding felony presentence investigation and probation supervision.
- **1977** A Residential Corrections Facility is established at 326 W. 2nd St., Davenport to provide pre-institutional residential services.
- **1982** Relocation of the Residential Corrections Facility to the site of the newly-constructed facility at 1330 W. 3rd St., Davenport (36-bed capacity).
- **1984** With the formation of a separate Department of Corrections, the Department assumed control of Parole and Work Release Services.
- **1987** The Department acquires the building at 605 Main St., Davenport and establishes this as the new location for the Work Release Center (60-bed capacity), field and administrative services. This Community Resources Center also housed several community service agencies.
- **1992** After additional construction, the Residential Corrections Facility capacity is raised to 56 bed spaces.
- **1999** Office space is acquired at 605 Main St. to house new staff and to provide space for Polygraph and Plethysmograph services.

Basic Services

- **PRETRIAL SERVICES** The Courts are served with information and services to provide for the early release of offenders prior to sentencing either with or without supervision.
- **PRESENTENCE INVESTIGATIONS** In-depth investigations are provided to the court to provide assistance in sentencing and in later incarceration or community supervision.
- **PROBATION SERVICES** In lieu of incarceration, a full range of services and monitoring are applied to the community supervision of offenders. This might include intermediate sanctions, such as residential service as a condition of probation.
- **RESIDENTIAL CORRECTIONS** The Residential Corrections Facility provides short-term pre-institutional programming for those placed there under a court-ordered condition of probation.
- **PAROLE SERVICES** Post-institutional community supervision is provided for those released from prison or discharged from work release programs.
- **WORK RELEASE** After release from prison, the staff of the Work Release Center residential program provide transitional services and counseling prior to Parole or discharge. This program also oversees the Drunk Driving Program.

Special Services

● **INTENSIVE SUPERVISION PROGRAM** A high degree of offender counseling and surveillance are applied in the intensive supervision process, coupled with the use of modern technology, which includes electronic monitoring. Intensive programs aid in easing prison populations while not sacrificing public security. Therefore, this caseload is small and manageable.

● **TREATMENT ALTERNATIVES TO STREET CRIME (TASC)** A combination of assessment, referral, counseling, group work and rigorous surveillance are necessary to ensure public safety while providing workable tools to this small, special caseload of offenders.

● **BATTERERS EDUCATION PROGRAM (BEP)** The Department provides a series of group sessions which those convicted of domestic violence are required to complete by the court. A significantly important component is a rigorous assessment, referral and monitoring process to ensure offender compliance.

● **SEXUAL OFFENDER TREATMENT PROGRAM** Clearly one of the highest risk groups for re-offense, an intensive program of counseling, confrontation, group education and surveillance help to secure victim satisfaction and public safety. Typically, this caseload is small, yet does aid in keeping institutional populations below capacity, while providing the treatment necessary in combination with the technologies of polygraphy and plethysmography.

● **COMMUNITY SERVICE SENTENCING** This tool for connecting the offender with the offended community through significant work to benefit it has been used extensively by the courts. In cooperation, and in some cases by contracting with

(Special Services...continued)

local agencies, the Department provides meaningful work which rewards the community and the offender.

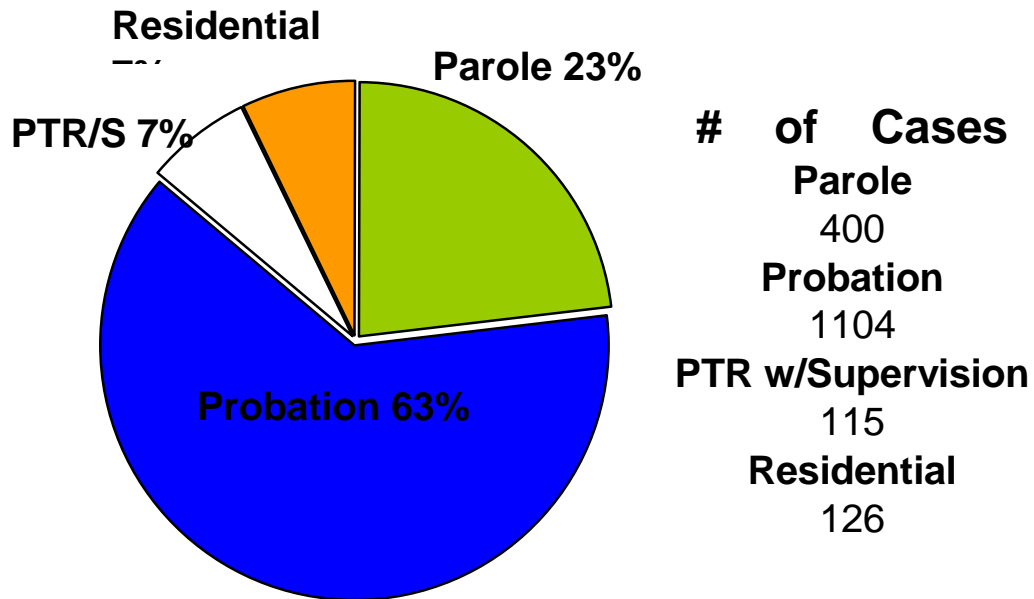
● **JOB DEVELOPMENT** The Department has had a longstanding relationship by contract for services with the Safer Foundation. Upon referral, Safer interviews, assesses and places offenders in community employment.

● **VIOLATOR AFTERCARE PROGRAM** Subsequent to referral and successful completion of an institutional violator program series to develop thinking skills, the Department undertakes to complete that training with a final series of group sessions to refine those cognitive skills. This is coupled with regular supervision in the community or in one of our residential facilities.

● **TRANSITIONAL PHASE PROGRAM** GASA grant funding continues this new program, which establishes a period of supervision intended to provide a smoother adjustment from residential corrections to "street supervision" through a system of counseling, referral and surveillance together with substantial involvement of collateral significant others and local agencies.

Charts, Graphs & Facts

Fiscal Year End Cases Under Supervision



IN FISCAL YEAR 1999 . . .

DEPARTMENT SUPERVISING STAFF WERE RESPONSIBLE FOR:

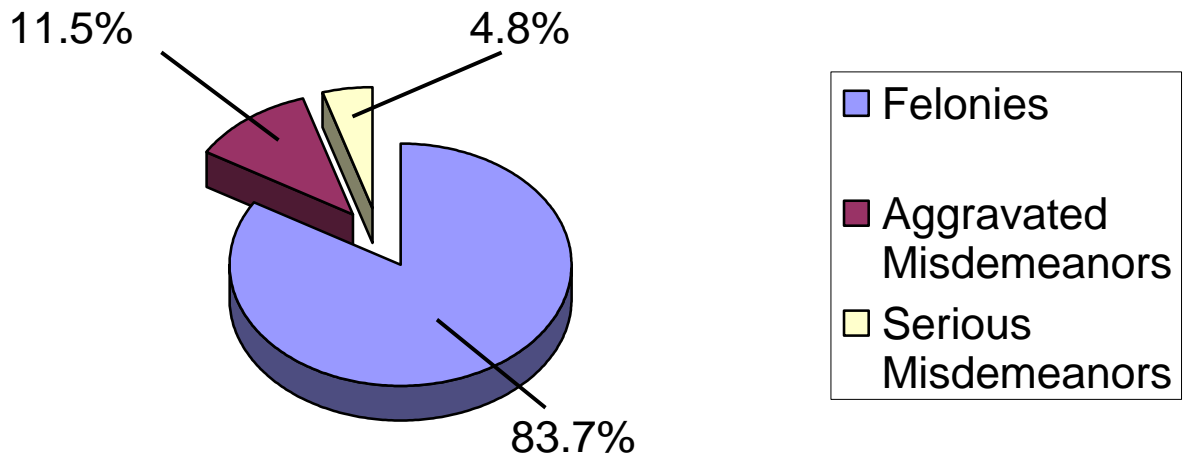
• THE COLLECTION OF \$646,183.00 IN VICTIM RESTITUTION. THIS WAS A 12.5% INCREASE OVER THE PREVIOUS FISCAL YEAR.

• INVOLVING 813 OFFENDERS IN THE COMPLETION OF 67,894 HOURS OF COMMUNITY SERVICE.

• COMPLETING 190 TRANSFER INVESTIGATIONS, 869 PRESENTENCE INVESTIGATIONS AND 3,262 PRETRIAL INVESTIGATIONS.

Charts, Graphs & Facts

Cases by Charge Type

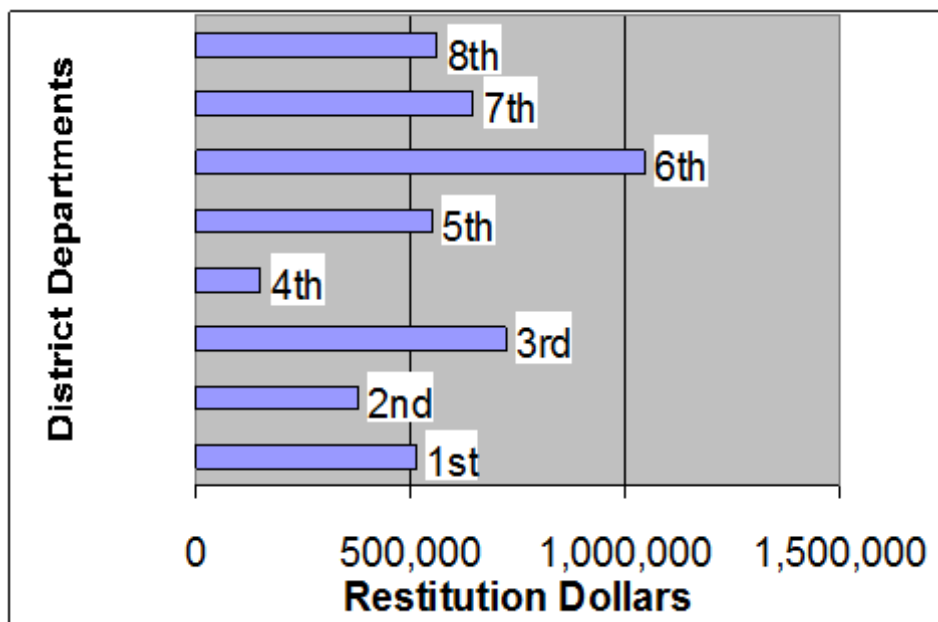


➤ Of the 8 Departments of Correctional Services, the 7th district has the heaviest concentration, by far of felons to supervise at 83.7%. The other districts vary in their supervision of felony offenders from the next highest at 74.2% and the lowest felony population at 38.8%.

➤ This means that with the very low concentration of less serious offenders supervised by the Department (16.3%), the greatest number of public resources is being invested by the 7th district in the offender population that is potentially the greatest threat to the community's peace and security.

Charts, Graphs & Facts

- The 7th Judicial District Department is next to the smallest in terms of numbers of offenders to supervise, yet ranks third among the district departments in the amount of victim restitution collected.

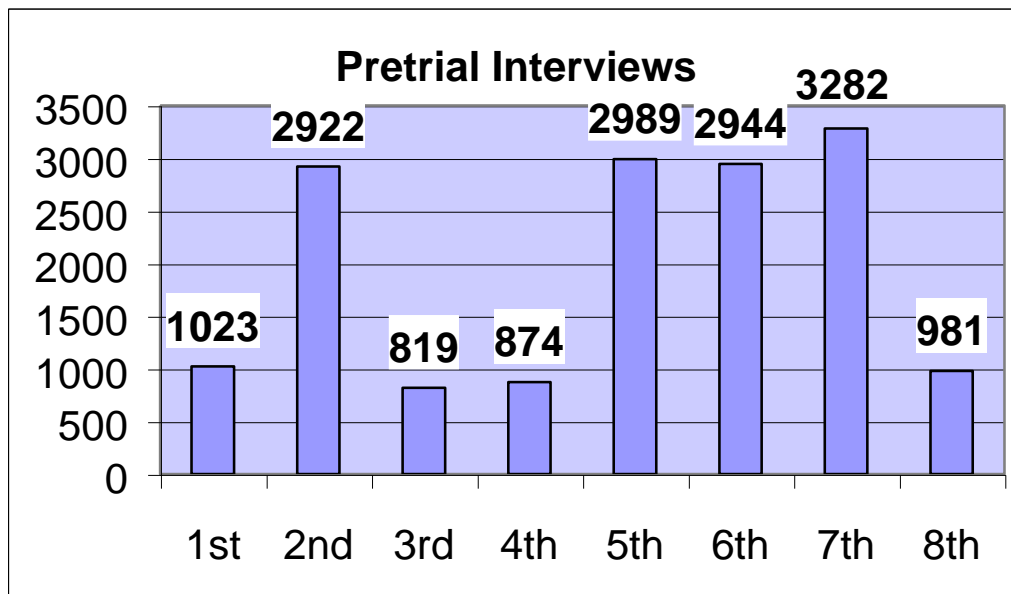


- Only one other Judicial District Department has a higher per capita restitution yield and the difference is just a few dollars:

1 st J.D.	\$133.64 per capita
2 nd J.D.	\$125.17 "
3 rd J.D.	\$269.87 "
4 th J.D.	\$125.87 "
5 th J.D.	\$71.32 "
6 th J.D.	\$386.52 "
7th J.D.	\$369.04 "
8 th J.D.	\$304.49 "

Charts, Graphs & Facts

- The Department significantly impacts the numbers and types of releases after arrest for both misdemeanors and felonies.
- The 7th District Department conducted more Pretrial Interviews than any other Department, despite that it is seventh in terms of overall caseload size.



- Fully 1/5 or 20.7% of the Pretrial Interviews done in the State of Iowa are conducted by the 7th District Department.

Charts, Graphs, & Facts

● Individuals on Parole status have historically been viewed by the general public as those who represent a higher risk for public safety. This is due to the fact that they have experienced the hardening environment of prisons with all its supposed harmful influences from other felons. Supervision in the communities involves a measured response to those public fears and affects the level of case intervention by the supervising officer.

● The chart below illustrates that this Department supervises fairly high numbers of parolees, based upon its caseload ranking (7th) among all districts' caseload totals. Parolees include the Intensive, Intensive Drug Offender and Intensive Sex Offender caseloads, as well as those not in the large designation of general parolee.

Percentage of Parolees

Total Parolees: 2502

The 7th District is ranked 7th in term of caseload size and 2nd in terms of parolee supervision.

Percentage of Parolee Caseload per District Compared to Entire State Parolee Caseload Total

	1 st District	2 nd District	3 rd District	4 th District	5 th District	6 th District	7th District	8 th District
Total # of cases	3843	3009	2677	1201	7717	2703	1751	1843
Total # of parolees	290	307	243	139	569	268	397	289
% of parolee total	11.6	12.3	9.7	5.6	22.7	10.7	15.9	11.6

Percentage of Parolees Compared to Individual District Caseload Totals

% of District's Total	7.5	10.2	9.1	11.6	7.4	9.9	22.7	15.7
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The concentration of parolees is greatest in the 7th District Department.

Board of Directors

Highlights of Meetings & Plans

- **FISCAL YEAR 2000-2001** - Approval was achieved for the funding of several extra PPO and residential staff positions to meet rising workloads.
- **ONGOING DUTIES** - The Board oversees all fiscal reports, expenditures, program expansion, building/remodeling, staff raises, purchases, grants, contracts, budget packages, changes to policies & procedures and auditing processes.
- **TABLE OF ORGANIZATION** - Major changes occurred in delivery of field services in Scott County, requiring approval of several changes to the Department's Table of Organization. This was due to the move to generalized caseloads in Scott County.
- **SPECIAL STUDIES** - In an effort to steadily monitor the progress of the Department, the Board regularly asks for special reports, presentations by staff and statistical summaries. This year the Board was involved in overall estimates of caseloads with emphases on race, sex and age, as well as focusing on prison populations and the Department's continuing impact on the effort to lower recidivism and revocations.
- **BUILDING PURCHASE PROPOSED** - A plan is under review to secure ownership of the entire Community Resources Center building so that staff and office expansion can continue. Alternative office space considerations are also being reviewed, to include possible land purchase and new construction.
- **WORK RELEASE CENTER EXPANSION** - The Board's approval and oversight by leasing additional Community Resources Center space made possible a significant expansion and furnishing of offices to house various staff to free rooms elsewhere at the Work Release Center for increased residency. This move decreases pressure on prison population.
- **APPROVAL OF SALARY INCREASE** - To match a contract-covered salary increase, the Board approved a 3% salary increase for non-contract staff.

1999 Board of Directors



Otto Ewoldt, Chair
Scott County



**LeRoy Moeller, Vice-
Chair Cedar County**



Bob Holden
Judicial Appointee



Bob Peterson
Board Appointee



Esther Dean
Board Appointee



Dave Maehr
Judicial Appointee



John Oberhaus
Muscatine County



Lewis Todtz
Clinton County



J.C. Engel
Jackson County

SEVENTH JUDICIAL DISTRICT DEPARTMENT OF CORRECTIONAL SERVICES

Balance Sheet

June 30, 1999

ACCOUNT GROUPS

	GENERAL FUND	GENERAL FIXED ASSETS	GENERAL LONGTERM OBLIGATIONS
ASSETS			
Cash and Investments	378,311	0	0
Accounts Receivable	39,467	0	0
Prepaid Expense	1,360	0	0
Fixed Assets	0	1,615,648	0
Amount to be provided for retirement of longterm obligations	0	0	545,421
TOTAL ASSETS	419,138	1,615,648	545,421
LIABILITIES			
Accrued Payroll	240,891	0	0
Accounts Payable	122,911	0	0
Due to State Treasurer	30,699	0	0
Compensated Absences	0	0	545,421
Obligations Under Capital Lease Agreements	0	0	0
TOTAL LIABILITIES	394,501	0	545,421
FUND EQUITY			
Investment in Fixed Assets	0	1,615,648	0
Unreserved Fund Balance	24,637	0	0
TOTAL FUND EQUITY	24,637	1,615,648	0
TOTAL LIABILITIES AND FUND EQUITY	419,138	1,615,648	545,421

SEVENTH JUDICIAL DISTRICT DEPARTMENT OF CORRECTIONAL SERVICES
Comparison of Actual Revenues and Expenditures to Budget
For Year Ended June 30, 1999

	ACTUAL	BUDGET
<u>REVENUES BY SOURCE</u>		
State POS	4,931,035	4,931,035
Interest	38,152	38,558
Residential Client Fees	219,242	214,620
Work Release Client Fees	85,914	86,687
Federal Client Fees	168,676	165,209
OWI Client Fees	166,320	151,000
County Support	20,474	20,474
Enrollment Fees	120,724	119,116
Federal Pass Thru	32,500	34,795
Batterers Education Program Fees	74,718	73,278
Miscellaneous Income	12,558	13,200
Total Revenues	5,870,313	5,847,972

<u>EXPENDITURES BY SOURCE</u>		
State POS	4,900,336	4,931,035
Interest	38,152	38,558
Residential Client Fees	214,620	214,620
Work Release Client Fees	85,914	86,687
Federal Client Fees	167,029	165,209
OWI Client Fees	151,000	151,000
County Support	20,474	20,474
Enrollment Fees	119,116	119,116
Federal Pass Thru	32,500	34,795
Batterers Education Program Fees	73,278	73,278
Miscellaneous Income	12,558	13,200
Intrastate Transfer	20,000	0
FY98 Carry Forward Funds	51,292	51,292
Total Expenditures	5,886,269	5,899,264

SEVENTH JUDICIAL DISTRICT DEPARTMENT OF CORRECTIONAL SERVICES

Expenditures by Account Class and Program

For Year Ended June 30, 1999

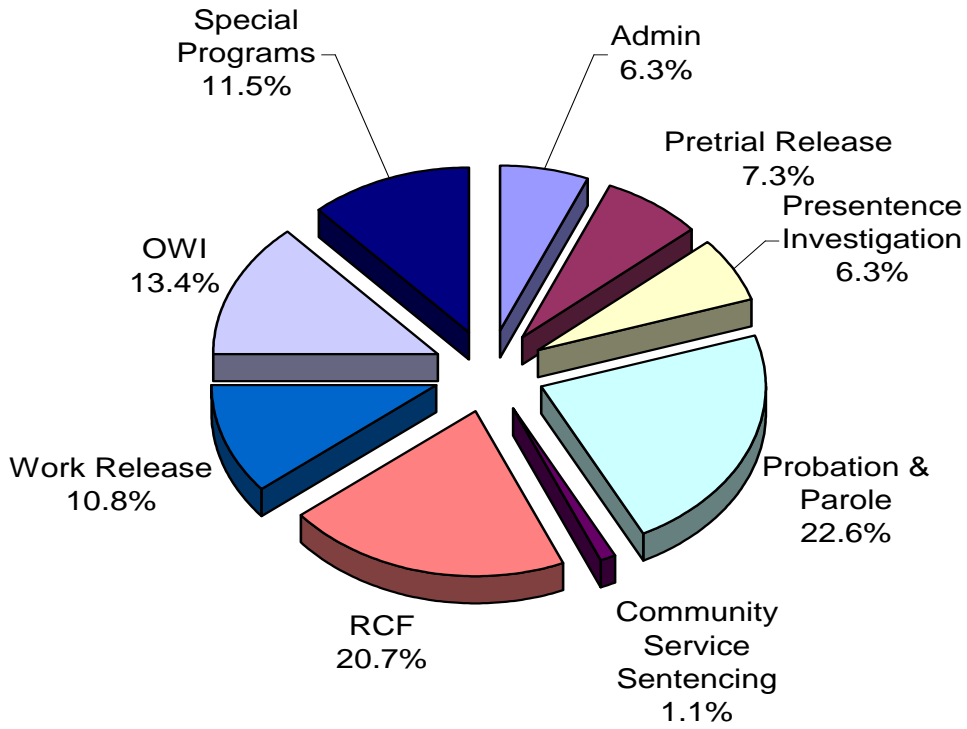
Account Class/Program	1001 Admin	1002 PTR	1003 PSI	1004 PA/PB	1005 CSS	1006 RES	1007 WR	1008 OWI	1009 SPEC PR	TOTAL
101 Personnel Services	332,812	380,727	335,020	1,150,701	0	946,624	437,244	472,014	510,485	4,565,627
202 Personal Travel	5,543	1,320	2,877	9,220	0	3,165	1,470	1,532	6,943	32,070
203 Vehicle Operation	0	0	0	0	0	8,387	5,092	5,046	4,674	23,199
301 Office Supplies	6,133	5,526	4,315	13,097	0	8,762	5,266	4,542	4,651	52,292
302 Bldg Maint Supplies	0	994	1,287	3,875	0	1,707	6,026	6,014	24	19,927
304 Prof & Sci Supplies	0	2,500	0	9,003	0	5,140	3,732	3,457	6,635	30,467
306 Housing & Subsist Supp	0	0	0	0	0	12,941	11,544	11,558	0	36,043
308 Other Supplies	197	0	0	0	0	2,731	345	484	0	3,757
311 Food	0	0	0	0	0	73,926	47,538	47,559	0	169,023
401 Communications	887	3,687	3,356	10,723	0	8,635	2,160	1,286	914	31,648
402 Rentals	36	10,002	14,690	49,427	0	3,694	38,294	38,294	3,765	158,202
403 Utilities	4,140	2,780	3,781	12,847	0	31,884	18,735	18,735	82	92,984
405 Prof & Sci Services	1,474	0	0	567	49,248	581	5,067	88,495	134,827	280,259
406 Outside Services	0	615	795	2,381	0	2,597	3,603	3,603	15	13,609
407 Intrastate Transfer	0	0	0	0	16,400	0	0	3,600	0	20,000
409 Outside Repairs & Serv	0	0	25	93	0	3,581	228	364	0	4,291
414 Reimb- Other Agencies	0	0	0	0	940	0	0	0	0	940
416 ITS Reimbursements	652	11,918	4,237	11,081	0	3,260	3,259	2,607	978	37,992
501 Equipment	0	0	0	0	0	7,800	17,638	18,277	0	43,715
502 Office Equipment	900	550	350	36,000	0	1,877	4,000	4,000	505	48,182
503 Equip: Non-inventory	60	553	453	1,789	0	816	7,464	8,434	775	20,344
504 Data Processing Inventory	0	0	0	0	0	2,699	0	0	0	2,699
505 Data Processing Non-Inventory	17,079	8,626	875	12,917	0	2,934	9,948	28,009	3,500	83,888
602 Other Exp & Obligations	100	100	100	200	0	8,074	324	352	203	9,453
604 Securities	0	0	0	0	0	75,167	0	0	0	75,167
901 Capitals	0	0	0	4,215	0	0	6,471	19,805	0	30,491
TOTAL	370,013	429,898	372,161	1,328,136	66,588	1,216,982	635,448	788,067	678,976	5,886,269

SEVENTH JUDICIAL DISTRICT DEPARTMENT OF CORRECTIONAL SERVICES
Comparative Statement of Revenues and Expenditures

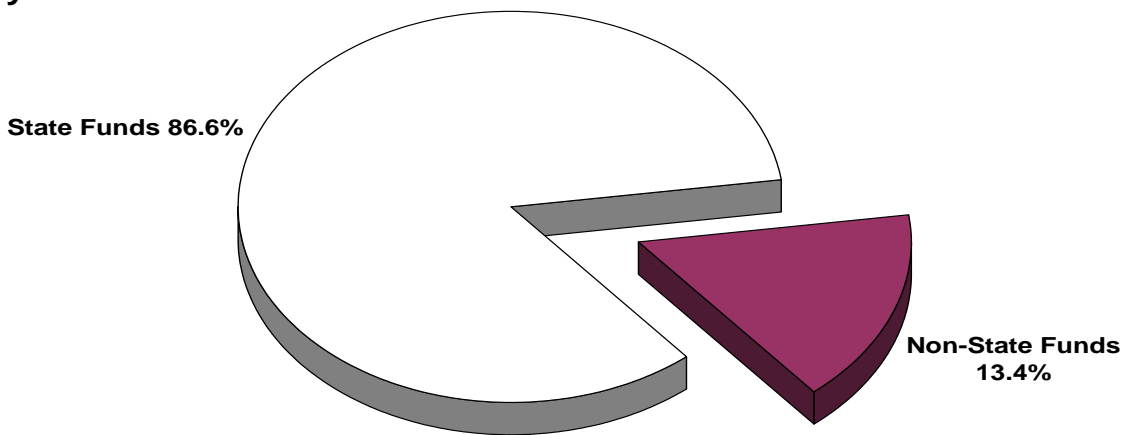
For Year Ended June 30, 1999

	1999	1998	1997
General Fund Balance Beginning of Year	51,292	37,147	24,228
<hr/>			
Revenues by Source:			
State Purchase of Service	4,931,035	4,759,928	4,582,676
Interest	38,152	40,043	38,534
Client Fees	471,476	434,508	379,857
County Support	20,474	19,499	37,703
Enrollment Fees	120,724	84,285	0
Batterer Education Program Fees	74,718	38,896	0
Federal Work Release Contract	168,676	119,525	126,434
Batterer Education Program Grant	0	0	43,134
RCF Transition Phase Program Grant	32,500	0	0
Miscellaneous Income	12,558	13,889	19,929
Total Revenues	<hr/> 5,870,313	<hr/> 5,510,573	<hr/> 5,228,267
<hr/>			
Expenditures by Program:			
Administration	370,013	345,576	295,291
Pretrial Release	429,898	407,791	409,673
Presentence Investigation	372,161	363,170	343,007
Parole & Probation	1,328,136	1,261,668	1,176,876
Community Service Sentencing	66,588	47,998	36,227
Residential Corrections	1,216,982	1,116,263	1,089,438
Work Release	635,448	588,872	561,552
OWI	788,067	729,166	693,655
Special Projects:			
Safer	60,000	60,000	60,000
TASC	174,105	163,937	154,625
Violator	112,467	109,927	105,021
Intensive Supervision	243,684	239,785	232,135
Domestic Violence	88,720	44,102	57,512
Total Expenditures	<hr/> 5,886,269	<hr/> 5,478,555	<hr/> 5,215,012
<hr/>			
Other Uses of Funds			
Reversion to State	10,699	17,873	336
<hr/>			
General Fund Balance End of Year	<hr/> 24,637	<hr/> 51,292	<hr/> 37,147
<hr/>			

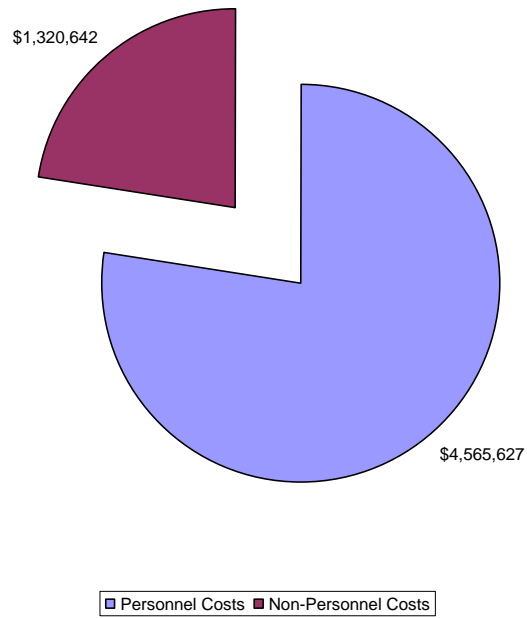
Program Costs FY 99



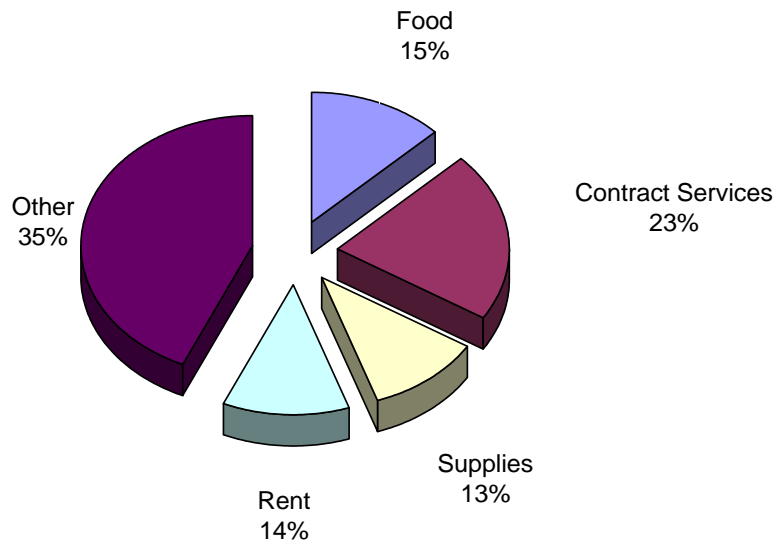
FY 99 Expenditures By Source of Funds



Comparison of Personnel to Non-Personnel Expenses



Non-Personnel Cost by Type FY99



Non-state Funds Expenditure by Source

